

Student Assessment in Adult Basic Education: A Canadian Snapshot

Written by Dr. Pat Campbell
February 2006

ACKNOWLEDGEMENTS

The purpose of this document is to report on the findings from a national survey on student assessment in adult basic education. This report is one of several outcomes from a national project entitled *Assessment Practices in Adult Basic Education*. This project will also produce an edited book on assessment practices and three videos.

This project was sponsored by the Centre for Education and Work (CEW) in Winnipeg, Manitoba. The CEW gratefully acknowledges the financial support provided by the National Literacy Secretariat, Department of Human Resources and Social Development Canada for funding this project.

We would like to acknowledge the contributions of the advisory committee, who helped to form the survey framework and questions:

Suzanne Benoit
Tom Ciancone
Anne Marie Downie
Guy Ewing
Karen Geraci
Lynda Fownes
Laurie Gould
Diana Kaan
Robin Millar
Beverly Sabourin
Cate Sills

A special thank-you to the 400 educators who took the time to participate in the survey. Your comments and insights made the survey come alive!

Finally, thank you to Yvan Clermont, Statistics Canada; Todd Rogers, Centre for Research in Applied Measurement and Evaluation at the University of Alberta; and Grace Malicky for providing suggestions on improving the on-line survey.

For more information on this project, contact

Dr. Pat Campbell
Project Director
PO Box 52192
Edmonton, AB
T6G 2T5

Tel: 780-448-7323
Fax: 780-413-6582
pcampbell@interbaun.com

Table of Contents

Introduction.....	5
Methodology.....	7
Assessment Tools	11
Purposes Of Assessment.....	29
Assessment Practices	37
Professional Development	49
Muddy Water.....	55
Key Findings and Recommendations.....	63
References	69
Appendices.....	75



INTRODUCTION

Assessment is a continuous, systematic process that utilizes tools and approaches to gather information in order to make decisions about the provision of programs, instruction, training, and services for literacy, upgrading and adult basic education students. Adult basic educators who work in programs offered by colleges, school boards, workplaces, and community-based agencies use a variety of assessments that are distinguished by the theories on which they are based; the knowledge, skills, attitudes, and values they purport to measure and provide; and the manner in which they inform placement and instruction. Although assessment is an integral part of the learning process, there is a paucity of information about the assessment tools and practices that are being used by adult educators across Canada. In addition, the research on the supports and constraints that affect educators' ability to engage in effective assessment practices is sparse.

Since the turn of the 21st century, the face of literacy provision and assessment has changed, in response to changing views about the nature of literacy and numeracy learning. In order to ensure that existing assessment tools reflect our changing views and meet the needs of educators and the diverse range of students, a national survey on student assessment was conducted with 400 educators in 2005. This report unfolds with a brief description of the methodology used to conduct the national survey. This report documents the findings from the survey, with the intent that the findings be used to inform policy and practice.



METHODOLOGY

Development of Survey

In November, 2004, an 11-member national advisory committee met to design the survey framework and questions. A draft survey was developed and forwarded to the advisory committee and statisticians at Statistics Canada and the Centre for Research and Applied Measurement and Evaluation (CRAME) at the University of Alberta for feedback. The survey was revised, based on this feedback. An on-line version of the survey was programmed and piloted with eight adult literacy educators. The findings from the pilot study were used to revise the survey, and the final version was completed in March 2005 (see Appendix A).

Data Collection

Governments and coalitions from the 13 jurisdictions provided contact information for English-language literacy and adult basic education programs offered by three kinds of delivery agencies: college, school board, and community. If a delivery agency in a given jurisdiction had less than 30 programs, all of them were asked to participate in the survey. For example, in the territories and the Atlantic Provinces, every program was contacted, since fewer than 30 programs were offered by each type of delivery agency. In order to ensure a representative sample, 50 percent of the programs were randomly sampled whenever a delivery agency in a given jurisdiction had more than 30 programs. For example, in Ontario, only 50 percent of the programs offered by colleges, school boards, and community-based programs were contacted, as each type of delivery agency had more than 30 programs.

The survey was conducted between March and August 2005. The goal was to achieve a 75 percent response rate. The director's initial contact with the potential survey respondent was made by phone. In many instances, the initial contact person redirected the director to another educator in the program who held more responsibility for assessment. During the phone call, the purpose of the survey was discussed and the

respondent indicated when the survey would be completed. If the respondent did not complete the survey by the anticipated date, he/she was sent up to two reminders by e-mail.

The Sample

A total of 480 educators who worked in English-language adult literacy and basic education programs offered by colleges, school boards, and community-based agencies were contacted, and 380 completed the survey; this represents a 79 percent response rate (see Figure 1). In addition, 20 workplace educators completed the survey. Although the respondents fulfill multiple roles as adult educators, the majority of respondents (64 percent) reported that they were program coordinators or directors. The participants also described themselves as classroom instructors, workplace educators, small group facilitators, administrators, assessors, volunteer tutors, instructional assistants, counsellors, researchers, and/or self-employed.

Figure 1. Number of programs that were contacted and completed survey.

Jurisdiction	Community		College		School Board	
	Number Contacted (N=215)	Completed Survey (N=175)	Number Contacted (N=204)	Completed Survey (N=161)	Number Contacted (N=61)	Completed Survey (N=44)
AB	41	32	20	16	N/A	N/A
BC	19	14	31	25	16	12
MB	18	15	24*	19*	N/A	N/A
NB	12	9	6	4	N/A	N/A
NL	13	11	12	10	N/A	N/A
NS	27	22	11	9	5	1
NU	N/A	N/A	21	14	N/A	N/A
NWT	3	3	20	17	N/A	N/A
ON	63	52	28	24	30	25
PEI	4	4	6	6	N/A	N/A
QC	11	9	N/A	N/A	10	6
SK	3	3	14	10	N/A	N/A
YK	1	1	11	7	N/A	N/A

*This number pertains to the Adult Learning Centres.

Seventy-eight percent of the respondents were female, reflecting the common bias in the field. Their ages ranged from 18 to 74, and the highest percentage of respondents (41 percent) were in the 45-to-54 age group. Their hours of paid time per week ranged from less than 10 to more than 40; the largest cohort (43 percent) worked between 31 and 40 hours.

The Programs

The respondents worked in adult literacy and basic education programs situated across Canada—from Dawson City, Yukon, to St John’s, Newfoundland, and from as far south as Windsor, Ontario, to as far north as Grise Fiord on Ellesmere Island. The number of respondents who worked in programs delivered by community-based agencies and colleges was almost equivalent: 44 and 40 percent respectively. A smaller percentage worked in a program offered by a school board (11 percent) or workplace (5 percent).

The adult literacy and basic education programs were offered in urban, rural, and remote communities, with 43 percent of the respondents working in programs that served rural communities, 41 percent urban and 7 percent remote. The remaining respondents stated that they worked in other locations such as reserves. The highest percentage of respondents (35 percent) worked in communities with a population of 2,500 to 19,999, and the lowest percentage (6 percent) worked in large urban centres that served more than one million residents.

The programs served a broad cross-section of students, from beginning readers to students seeking their Grade 12 (see Figure 2). These programs provide instruction in reading,

Figure 2. Levels of upgrading provided by programs.*

Levels of Instruction	Number of Programs (N=378)	Percentage
Beginning (Grades 1 to 3)	229	60
Intermediate (Grades 4 to 6)	260	69
Advanced (Grades 7 to 9)	288	76
High School (Grades 10 to 12)	201	53

*A total of 22 people skipped this question.

writing, and numeracy; and to a lesser extent, instruction in life, employment, and essential skills. The respondents indicated that the four primary modes of instruction are one-to-one (75 percent), small group (65 percent), self-paced (63 percent), and class (54 percent). Given the high percentages for each mode, it is apparent that many programs use a combination of these modes.

ASSESSMENT TOOLS

Assessment Tools Used by Delivery Agencies

A major purpose of the survey was to inventory the English-language assessment tools being used by delivery agencies and to identify the strengths and limitations of these tools. The findings indicate that the 400 respondents use 26 different types of commercial instruments to assess literacy, numeracy, and essential skills. Appendix B lists the tools that each jurisdiction uses for initial assessment.

Of these 26 instruments, only three are current instruments that were developed within the past decade for the adult Canadian population and were being used at the national level. These three tests, Canadian Adult Reading Assessment (*CARA*), *Common Assessment of Basic Skills (CABS)*, and Test of Workplace Essential Skills (*TOWES*), were funded by the National Literacy Secretariat. A total of 134 respondents use *CARA* for initial, on-going, and/or exit assessment, making it the most widely used test of the 26 different instruments. *CABS* was the second most widely used test, with a total of 81 respondents using it for the various stages of assessment. *TOWES* was used only by 53 respondents, but this is due to the fact that it was developed *specifically* for the Canadian workplace to assess essential skills in three domains. Since only 51 of the 400 respondents identified themselves as workplace educators, it is not surprising that *TOWES* was used only by 53 respondents. Clearly, *TOWES* is being used for the purpose for which it was intended.

Despite the range of instruments being used across the country, the findings portray an assessment profile for the different delivery agencies and preferences for specific tools *across* the delivery agencies. In adult basic education, assessment tools and tasks that measure and document performance can be classified into four types: authentic, diagnostic, standardized, and competency-based. The findings portray a specific assessment profile for each delivery agency; they favour certain types of assessment tools over others. For example, credit-granting institutions such as community colleges, school boards, and Manitoba's learning centres favour standardized tools over diagnostic

instruments. On the other hand, community-based and workplace programs prefer diagnostic assessment tools. The findings also reveal that across the delivery agencies, there are three frequently used tools: *Canadian Adult Achievement Test (CAAT)*, *Common Assessment of Basic Skills (CABS)*, and the *Canadian Adult Reading Assessment (CARA)*.

In order to gather information about the complex nature of a student’s literacy, numeracy, and/or essential skills, the International Reading Association (1994) recommends that educators use multiple measures. The findings indicate that the majority of respondents are using a battery of commercial tools and informal measures. However, 58 respondents (14 percent) reported that they are using only one commercial assessment tool for initial assessment. Of the 58 respondents, 37 reported that they were relying solely on a standardized assessment. It is difficult to obtain a profile of a student’s knowledge, learning processes, behaviours, and skills with one assessment, especially when that tool is a standardized test.

This section will briefly describe the four different classifications of assessment tools and provide statistical information regarding their use among the different delivery agencies (See Figure 3). This will be followed by a description of the strengths and limitations of the most frequently used tools (*CAAT*, *CABS*, and *CARA*).

Figure 3. Types of assessment tools used by delivery agencies.

Type of Assessment Tool	Credit-granting Institutions	Community-Based	Workplace
Authentic	67%	67%	85%
Diagnostic	25%	50%	33%
Standardized	63%	31%	8%
Competency-based	21%	26%	25%

Diagnostic Assessments

Community-based and workplace programs, unlike credit granting institutions, tend to favour diagnostic assessments over standardized and competency-based assessments. Diagnostic instruments provide instructors with the student's reading levels; this information leads to appropriate initial placement in classes and/or materials. Diagnostic tests require strong interpretive skills that can be gained only through a combination of experience and training. The interpretation of the test results provides specific information about how individuals process print and text, and identify specific areas of weakness and strength in a learner's skills and capabilities. This information is used to design effective lesson plans, form instructional objectives, and determine reading strategies that will meet these objectives.

The Canadian Adult Reading Assessment.

The findings show that *CARA* is the most frequently used diagnostic assessment across delivery agencies.¹ *CARA* consists of a 186-page instructor's manual, a 52-page student's assessment booklet, and a CD-ROM. The instructor's manual and student's assessment booklet contain a graded word list and nine levels of passages, ranging in readability from Grades 1 to 12. *CARA* provides instructors with the student's independent, instructional, and frustration reading levels.

The *CARA* levels are correlated with the adult literacy and adult basic education programs offered through each province and territory. Each level contains two fiction and three non-fiction passages. *CARA* has a high degree of alternate-form reliability. This means passages within each level are parallel in terms of difficulty. Consequently, *CARA* can be used to measure progress and evaluate gains in reading level, processes, and strategies.

A total of 121 (30 percent) respondents reported that *CARA* is one of the tools used for initial assessment. Of the 121 respondents, 53 stated that *CARA* was the *most*

¹ It should be noted the the author of this paper is also the co-developer of *CARA*. This should be taken into account when reading the *CARA* review as the author might be biased towards this tool.

frequently used tool for initial assessment. The 53 respondents described the strengths and limitations of *CARA*.

In terms of strengths, the respondents cited the dual purposes of *CARA*: it provides information on both placement *and* instruction. The respondents stated that *CARA* provides reliable and accurate placement and “usually is right on the button with the placing.” The respondents described *CARA* as an “easy-to-use, flexible” tool that provides students with choices in terms of passage selection. The passages were cited as a strength for several reasons: (1) Canadian content; (2) high-interest and culturally appropriate stories; and (3) the range in difficulty, from beginning to advanced. Several respondents appreciate that *CARA* is articulated to the levels recognized in the different provincial and territorial jurisdictions.

The findings show that *CARA* allows the assessor “to connect with the student” and establish rapport. This is because *CARA*’s administration process allows for “interaction, communication, and feedback” between the assessor and student. Consequently, the students find the assessment “non-threatening” and are not “intimidated.”

The most frequently cited limitation was that *CARA* is time-consuming, particularly with students who have well-developed reading skills. Second, *CARA* was criticized because it is “not able to test readers at extremely low levels.” Third, the graded word list was critiqued for several reasons: (1) Level 1 list is too difficult; (2) two of the words—“hymn” and “yacht”—are culturally biased; and (3) there is no parallel form for the word lists. Although the title—*Canadian Adult Reading Assessment*—implies a focus on reading, many respondents expressed a desire for *CARA* to assess the domains of numeracy and writing.

Authentic Assessments

Authentic assessment may be conducted with an array of assessment texts and tasks that are usually informal measures developed by educators. The majority of educators across all the delivery agencies stated that they used tools developed by practitioners for initial (68 percent), on-going (72 percent), and exit assessment (51 percent). The assessment tasks require the application of knowledge or skills in contexts that reflect literacy and numeracy practices within the home, work, community, and school. Typically, authentic assessment occurs during regular classroom or tutoring activities, which means that educators can provide immediate and responsive feedback to students. Sometimes, authentic assessment materials are adopted by programs within other regions or jurisdictions.

Since authentic assessments are developed by educators, each one tends to be unique. Due to the limitations of space, only three authentic assessments used for initial assessment in different types of delivery agencies are described. The following description portrays an authentic assessment used for initial assessment of literacy skills. This comprehensive assessment is used by a college instructor, with a masters degree and more than 20 years experience in the field of adult basic education:

Our literacy assessment has four components: interview, phonics, reading, and writing. We begin with the interview, talking with the student about what brought them back to school, what goals they have, what their interests are, etc., and explaining what our program offers. The phonics assessment is very simple, just asking them to identify single consonants, consonant blends, and give the sound and a word beginning with that sound. Students are also asked to read a few consonant-vowel-consonant words, and a few silent “e” words. If the phonics assessment indicates that the student is a complete non-reader, we use a book of simple signs and ask them to tell us about what the signs are saying. Students usually find the assessment encouraging because almost everyone can identify all the letters, so everyone experiences some success with it.

For the reading portion, students are usually given a selection of several articles (e.g., from the *West Coast Reader*) and asked to choose one they think they can read at least some part of. After the reading we go up or down with the next article, depending on how the first reading went. We

are looking for something that will give us a sense of their reading level and the types of strategies they use to decode. When the students have read the articles, we also ask comprehension questions and/or ask students to tell us about the article, allowing them to refer back to it.

For the writing portion, students are asked to write/print the alphabet, complete sentences with a word(s) left blank, answer a few questions in writing (e.g., What food do you like to eat?), and then write a few sentences (or more, if possible) about a place they have lived, or any other topic they would like to write about.

We stop the assessment at any point when it is clear that the student will not be able to handle the next level or task.

This assessment reflects literacy practices within the classroom. Several colleges have developed an assessment that “can be used to determine a student's instructional reading level, writing strengths and weaknesses, and knowledge of mathematical concepts and operations.” For example, the Basic Education Department at Vancouver Community College has published an intake assessment tool that is being used by several colleges in British Columbia. In the territories, Aurora College has developed the Aurora College Placement Test, and Nunavut Arctic College has designed placement tests for Math, Inuktitut, and English.

Workplaces tend to develop contextualized performance assessments that assess the essential skills used in the workplace or occupation. A field officer who coordinates workplace education programs provided the following description of the initial assessment for workers in a specific occupation:

The process is informal in that it is carried out in an interview with the learner and a range of activities are offered and the learner can self-assess and then choose the activity that he/she feels comfortable with. For example: for uncertified workers in the field of early childhood we used a growth chart and asked the participants to determine the weight/height of a two-year-old if he were in the 50th percentile for his age. We refer to the Essential Skills profiles for ideas. The tasks have a complexity level assigned and it is easy to duplicate that activity with materials from the workplace to create the needs assessment.

The essential skills framework was used by workplace educators who work with many different populations. For example, one respondent works “in a sheltered workshop for clients with special challenges.” One of the tools she developed uses essential skills related to the retail store. The assessment results are used to “customize the training program to the right skill level of the participants.”

A practitioner with a master’s degree and over ten years of experience in adult literacy provided the description of an initial assessment. This practitioner works in a community-based program in the inner city.

When I interview potential students at the Centre, I use the Centre's registration form as an assessment tool. The form definitely has meaning for students and is used in context. It provides me with enough information to place the student in a group or with a tutor—more specific needs can be addressed later when the student is more at ease. I usually invite the student into my office, where I invite them to sit and have a look at the registration form while I continue with a task in my office. I am busy with a task so the student does not feel they are being watched (although I am aware of their actions); almost all of the students will complete as much of the form as they can while they wait. After an appropriate length of time, I turn my full attention to them, and we go over the form together. Blanks, spelling, questions asked—these allow an entry assessment (not exact to be sure) without the stress of using an 'assessment tool.' It's not rocket science, but it works!

This description encapsulates why many practitioners choose to use authentic assessment over a commercial tool. Students speak with their feet; if the initial assessment creates stress and anxiety, they might not return to the program. This practitioner, like many others, is concerned with all aspects of a student’s well-being; this includes his/her emotional state and not just the cognitive results from an assessment.

A total of 267 (67 percent) respondents reported that authentic assessments are part of the initial assessment battery. Of the 267 respondents, 125 stated that tools developed by instructors were the most frequently used tools for initial assessment. The 125 respondents described the strengths and limitations of these tools.

According to the findings, authentic assessment has two key strengths. First, these tools are customized to meet the needs of specific programs and students. The tasks and activities can reflect learning outcomes, curriculum, and/or course content, which means that instructors can use the test results for placement into classes or to develop individualized programs. The content can reflect the essential skills used in workplace environments, which means that instructors can use the test results to develop training programs for the individual learner. The tools can also be tailored to a student's cultural background, goals, and interests. Finally, the tools can be developed for special needs students, such as those with intellectual disabilities.

Second, the respondents emphasized that authentic assessments do not intimidate students who are returning to school. The tools are flexible instruments that allow the assessor and student to choose test items that are relevant to the student. Moreover, they are simple and easy to administer and interpret. The high level of flexibility, choice, and interaction between the assessor and the student creates a relaxed setting for the student. The informal nature of the assessment means that the students feel comfortable and are more "willing to take risks." Reducing the student's anxiety and alleviating the stress related to tests "increases the likelihood that the assessment accurately represents the students skills."

The respondents cited five main limitations with respect to the use of authentic assessment. First, these assessment tools provide general information about the student's capabilities, but do not provide a detailed assessment of the learner's abilities that would enable educators to "narrow down the specific areas the student needs to work on." Further to this, one respondent noted that authentic assessment "doesn't capture the actual source of learning problems. Rather, it tends to point at symptoms rather than underlying causes." For example, the assessment might reveal that the student has difficulties with comprehension, but might not unmask the source of these difficulties. Moreover, these assessments provide only a rough indication of performance level, making it difficult to use test results for placement purposes. Several respondents stated these assessments are limited in scope; the tools tend to assess reading and writing

competencies, but do not fully assess other areas such as numeracy and speaking and listening skills. In summary, the general nature of authentic assessment tools impacts upon their ability to be used for placement and instructional purposes. As well, these tools cannot be used to measure progress in terms of reading levels, as they do not contain parallel forms.

Second, the respondents expressed the concern that these tools were not recognized by other institutions. This means that the assessment results have limited transferability between institutions. Consequently, these tests cannot always be used for exit assessments.

Third, effective administration and interpretation of authentic assessment is dependent upon the experience of the examiner. According to one respondent, the “the potential weakness of [authentic assessment] is that it requires an experienced educator to evaluate the work produced by the student and to begin his/her program at the appropriate level.” Some educators, particularly those who are new to the field, may not possess the observational skills needed to identify a student’s strengths and weaknesses. It is often difficult for educators to access generic training in how to use these tools, as each tool is unique to the agency that developed it.

Fourth, the interpretation of test results can be subjective. This can result in differences of opinion about how to place and instruct the student. One respondent stated that “the weakness is in the interpretation of the results among instructors, especially with writing assessments. There is sometimes a difference of opinion about what level the writing sample is at.”

Finally, many of the respondents commented that authentic assessments are time-consuming, in terms of development and administration. It is particularly challenging for part-time instructors to find the time to develop these tools. In summary, educators need to weigh the time it takes to develop and administer these tools against the information the tools provide in terms of placement and instruction.

Standardized Assessments

Credit-granting institutions such as colleges, school boards, and Manitoba’s learning centres tend to favour standardized assessments over diagnostic and competency-based assessments (see Figure 3). The term “standardized” means that the tests are always expected to be administered and scored the same way. The majority of standardized tests are “norm-referenced.” This means that the test compares a student’s scores to the scores of a representative or norm group.

The Canadian Adult Achievement Test.

The *CAAT* is ranked as the most frequently used standardized assessment across delivery agencies. The *CAAT* measures an adult’s current functional level in math, reading, and language. It consists of a battery of nine sub-tests: reading vocabulary, reading comprehension, spelling, number operations, problem solving, mechanical reasoning, language, science, and study skills. There are four levels of *CAAT*, with each level corresponding to years of education and featuring a different combination of subtests. Level A, for instance, is for adults who have completed 1 to 3 years of formal education, while Level D is for adults who have completed 11 to 12 years of formal education. *CAAT*, which was normed on adults, provides norm-referenced and content-referenced scores.²

A total of 83 (22 percent) respondents reported that *CAAT* is one of the tools used for initial assessment. Of the 83 respondents, 54 stated that *CAAT* was the *most frequently* used tool for initial assessment. The findings clearly indicate that the 54 respondents do not always agree about *CAAT*’s strengths and weaknesses.

Many respondents appreciated the fact that *CAAT* provides grade equivalency and stanine scores. The grade equivalency scores were used to determine placement in classes, while the stanine scores were used to determine areas of strengths and weaknesses across a range of domains. Many cited reliability as one of *CAAT*’s major strengths, stating that

² It should be noted that the standard definition of a reliable test is one that yields consistent test scores over time and different test situations, so many respondents were introducing a slightly modified definition of reliable.

“CAAT has proven reliable in the placement of adult learners” into appropriate adult basic education (ABE) classes.¹ This finding was contradicted by others, who found that “the results do *not* give an accurate indication of the reading/numeracy ability of the learner.” Specifically, these respondents found that the grade level scores on CAAT were too high, which can result in “inappropriate grade placement.” Some respondents surmised that the multiple-choice format of the test might be a factor in inflated test scores, as the student could “guess” the correct answer.

Many respondents found that CAAT is easy to administer and score and doesn’t require the staff to have specialized credentials. Moreover, the respondents find it to be a cost-effective instrument because it can be administered in a group setting. However, others complained that the administration takes too long and the “test-taker” becomes fatigued.

The findings revealed that the respondents did agree on four limitations. First, CAAT can have a negative impact on the student’s emotional state. The respondents used the terms “intimidating,” “scary,” and “overwhelming,” to describe CAAT and went as far to say it can “create anxiety for the learner.” Second, CAAT appears to have a “southern urban bias” and is “not culturally sensitive.” Third, CAAT is not the “best tool for the assessment of lower level students.” Fourth, many respondents reported that the writing sub-test is a major weakness because it does not require the individual to provide a writing sample. In fact, a writing subtest is offered only in Levels C and D; students are provided with four sentences that express the same idea and are asked to choose the one which is written clearly and correctly.

Competency-based Assessments

Approximately one in five respondents who worked in credit-granting institutions and one in four respondents who worked in community-based programs and workplaces use competency-based assessments (see Figure 3). Competency-based assessments are designed to measure skills and knowledge as they relate to predetermined competencies, which can include life, workforce, and/or academic skills. This type of assessment is intended to measure the individual’s specific literacy, numeracy, and/or essential skills in order to determine what he/she knows and can do in *specified contexts*. The individual’s

performance is mapped against a set of predefined, specified skills within a competencies matrix. Criterion-referenced tests can also be classified as competency-based assessments because the student's performance is measured against a standard or curricular content. Criterion-referenced assessment is linked to instructional objectives, and individual items are designed to assess mastery of specific objectives.

It should be noted that authentic assessment and competency-based assessment are similar in terms of the nature of some of the tasks. The significant difference between the two types of assessment is that the competency-based assessment evaluates the student's performance against a set of predefined skills or outcomes. A further difference is that authentic assessments are typically developed by instructors, whereas most of the competency-based assessment tools, such as the *Tests of Workplace Essential Skills (TOWES)* and *Common Assessment of Basic Skills (CABS)*, are commercial products.

Common Assessment of Basic Skills (CABS).

CABS is ranked as the most frequently used competency-based assessment. *CABS* is designed to align the individual's skills against Ontario's five level *Learning Outcomes Matrix*.³ This information can be used for placement into appropriate classes and for the choice of appropriate materials. *CABS* can be administered only to an individual, never to a group.

The 380-page *CABS* manual outlines a four-step process for the initial contact, interview, assessment, and follow-up of students. The manual provides an interview guide, forms, and sample demonstrations. The interview guide and assessment are also available online.

CABS uses demonstrations to show competencies in communications (reading, writing, speaking, and listening) and numeracy at the five ability levels established in the matrix. Demonstrations are activities linked to learning outcomes that resemble real-life tasks that are related to the learner's goals. Each demonstration is accompanied by an assessor's

³ The five levels are being articulated to the levels established in other provinces and territories.

guideline page. After each completed demonstration, the assessor compares the student's work to performance indicators and success markers listed on the page. This descriptive scale helps the assessor to determine the student's level(s) and competencies. The *CABS* manual contains 94 demonstrations, with 17 to 19 demonstrations at each of the 5 levels. There have been no studies to establish psychometric measures such as reliability and validity.

A total of 71 (18 percent) respondents reported that *CABS* is one of the tools used for initial assessment. Of the 71 respondents, 26 stated that *CABS* was the *most frequently* used tool for initial assessment. The 26 respondents described the strengths and limitations of *CABS*. It should be noted that 25 of these 26 respondents were from Ontario.

The respondents like *CABS* because it serves three purposes. First, *CABS* establishes a student's communications and numeracy levels, based on Ontario's five-level *Learning Outcomes Matrix*. This information can be used for placement into appropriate classes and for the choice of appropriate materials. Second, "the strength of *CABS* is its relevance to the reporting we are required to do to our Ministry." *CABS* meets the accountability demands of the Ontario Ministry of Training, Colleges, and Universities because it aligns with Ontario's *Learning Outcomes Matrix* and has been approved by the Ministry of Training, Colleges, and Universities (MTCU). Third, the test results are portable when the student transfers to a different program because *CABS* is a provincially recognized tool used by delivery agencies across Ontario.

In terms of its features, respondents stated that *CABS* is a user-friendly tool that is easy to administer. The respondents appreciate the breadth of demonstrations in the manual, as this allows them to choose activities tailored to the student's goals and interests. The respondents like the demonstrations because they mirror real-life activities. Finally, some of the respondents commented that *CABS* is a non-threatening instrument because it is "not too formal, not too much like a test, and uses real life examples."

While many respondents commented on the breadth of demonstrations, others stated that they would “like to see more” in the next edition. A frequent request was for demonstrations that are “workplace-related.” The respondents recognized that *CABS* is time-consuming and “often takes two sessions to complete.” Yet, they felt that the time “was well-spent because it provides a way to get to know the learner’s background and goals for the future.”

Tools for the Future

What type of assessment tools would you like to see developed in the future? This question resulted in an extensive wish list from 330 respondents, ranging from tools that assessed workforce and academic readiness to those that diagnosed math anxiety. Despite this range, data analysis indicated that the highest rankings were for assessment tools that assess (1) emergent literacy and numeracy skills; (2) numeracy and writing skills; and (3) learning disabilities. The respondents wanted the tools to be user-friendly and culturally sensitive for First Nations and English as a Second Language (ESL) students.

Emergent Literacy and Numeracy Assessment Tools

Among the respondents, the highest-ranked need was for a tool that could be used with students whose literacy and numeracy skills and processes are at a very basic level. The respondents wanted a user-friendly tool that would not intimidate the learner or the assessor, as many programs utilize volunteers to assess students. They wanted this tool to measure progress, which means that it must include alternate forms or components for initial, on-going, and exit assessment. They also wanted this tool to inform instruction, which means that reference material that shows how the assessment results link to instruction would be beneficial. Several respondents remarked that they wanted to use this tool with ESL students, as well as literacy students.

Existing assessment tools do not provide a comprehensive assessment of students with limited literacy and numeracy skills, making a tool of this nature a necessity. Currently, three commercial Canadian assessment tools designed for adults are being used across the country: *CAAT*, *CARA*, and *CABS*. Each of these tools possesses particular strengths and

weaknesses in terms of its ability to assess the literacy and numeracy of emerging readers and writers. For example, *CARA* does not provide tools to assess an emergent reader's repertoire of sight words and phonemic awareness or his/her phonics knowledge. Further, it is not a comprehensive tool in that it assesses only reading.

Numeracy and Writing Assessment Tools

There are several standardized, diagnostic, and competency-based tools that contain numeracy and writing subtests. This raises the question, “Why did the respondents perceive the need for yet another instrument to assess these areas?” The primary reason is that the respondents want a user-friendly, culturally sensitive, and non-threatening tool that focuses on the assessment of *one* skill—numeracy or writing. In other words, they want an instrument that provides an in-depth assessment, rather than a subtest that deals with writing or numeracy in a limited manner.

Numeracy.

The respondents identified the need for a tool that measured numeracy levels, skills, processes, and conceptual understanding. They called for a tool that used *authentic* tasks, with practical, everyday applications. The respondents wanted a tool that could measure all levels of math, from basic math to college level.

One respondent noted, “I would like a numeracy assessment tool that was more than a page of problems—most tools are too difficult for the learners, and do not provide enough information to plan effective instruction.” While some wanted the tool to address learning challenges and inform instruction, others wanted the tool to assess academic readiness and determine placement in programs. One respondent expressed the need for a quick screening tool to determine placement. Some respondents wanted a standardized tool normed on adults, while others wanted a diagnostic tool that could pinpoint strengths and weaknesses.

Writing.

The respondents wanted a creative, interesting writing assessment that actually required the student to write. One instructor noted that “many of our assessments ask students to label the types of errors made in sample sentences or ask the student to choose a correct answer from multiple choices.” These tasks cannot be considered valid measures, as they do not involve the competency being assessed: writing.

The respondents called for a tool that could assess the student’s key strengths and weaknesses, and consequently inform instruction. For example, one respondent wanted a tool that referenced the strategies she needed to teach in order to address the student’s weaknesses. Although the administration of a writing assessment can be straightforward, the scoring and interpretation is not. The respondents asked for guidelines that would help them to interpret writing samples and provide feedback to the student.

Learning Disabilities

When a student has difficulty learning, an immediate response is “Why?” Quite often, educators believe that learning challenges stem from an undetected learning disability. So it was no surprise to learn that many of the survey respondents wanted an assessment tool capable of diagnosing learning disabilities.

In order to be diagnosed as learning disabled, individuals need to meet four criteria. The individual must:

- Have average to above-average intelligence.
- Demonstrate a discrepancy between intelligence and achievement test scores.
- Demonstrate a discrepancy between achievement and age and ability.
- Have learning problems that are not primarily the result of visual, hearing, or motor disabilities; mental retardation; emotional disturbance; or environmental, cultural, or economic disadvantage.

A battery of psycho-educational tests must be administered to diagnose these criteria. One of these criteria, having average to above-average intelligence, can be determined only through an intelligence test such as the *Stanford-Binet Intelligence Scale* or the *Wechsler*

Adult Intelligence Scale – Revised. In order to administer these scales, one needs to be a certified psychologist or have the appropriate credentials.

Many respondents wanted an “assessment tool to identify possible learning disabilities and appropriate instruction.” The follow-up report from a psycho-educational assessment battery does not always provide the specific reading strategies that a student needs to learn in order to become a fluent reader. This may be because the assessor is usually a psychologist, rather than a reading specialist. A diagnostic assessment tool, on the hand, is usually an effective means of identifying the cognitive processes a student uses to decode words and comprehend text. This type of assessment can be administered by a reading specialist or an educator. While diagnostic tools do not provide a label, such as “learning disabled,” they do provide the information, such as specific strategies, needed to effectively instruct a student. The advantage of a psycho-educational assessment, on the other hand, is that it usually provides learners with the “type of documentation needed to access accommodations in post-secondary environments.”

In summary, although the survey respondents wanted an assessment tool capable of diagnosing learning disabilities, it is simply not feasible to invest in its development. This type of diagnosis requires a battery of tests administered by people with the appropriate credentials. There exists, however, a screening tool for adults at risk of possible learning disabilities. It was designed by the Learning Disabilities Association of Canada (LDAC) in the early 1990s and further refined in 1999 in the publication *Destination Literacy: Identifying and teaching adults with learning disabilities*. This screening tool can be used to predict “the possibility of a learning disability...Although lacking the status of a proper assessment, it is highly practical in identifying an individual’s learning style where accommodations in work/educational settings might be appropriate.”

Over the past 20 years, I have facilitated dozens of workshops on assessment across the country. Educators in these workshops continually express frustration about being unable to diagnose students who are at risk of being learning disabled. Perhaps, as educators, we need to shift our gaze and reframe our perspectives on this issue. Rather than focusing on our inability to diagnose learning disabilities, we could shift our gaze to the strategies a

student uses for processing print and text. Using a psycho-educational battery to diagnose learning disabilities requires programs to access certified professionals and funding, whereas using a diagnostic assessment to figure out how a student processes print and text simply requires the programs to provide educators with the training they need to administer and interpret diagnostic assessments. Once adult educators have the training and confidence, they can use diagnostic tools to determine a student's learning strengths and challenges, and use this information to develop appropriate instruction.

PURPOSES OF ASSESSMENT

A frequently asked question among educators is “What is the best tool to use in our program?” The answer to this question depends partially on the purpose of the assessment. The survey findings indicate that, from the perspective of educators, the primary purposes of assessment are its potential to determine placement (80 percent), inform instruction and training (70 percent), and/or establish a benchmark or skill level (62 percent). Only 21 percent of the respondents recorded that the purpose of assessment is to measure progress.

The assessment tools and approaches should be appropriate for and compatible with the purpose and context of the assessment. For example, if the purpose is to determine placement, the educator should use a valid and reliable instrument aligned with current theories and practices. If the purpose is to inform instruction, the tool should provide in-depth information about how the student processes print and text. If the purpose is to establish a benchmark or skill level, the tool should be aligned to provincial, territorial, or national standards. Finally, if the purpose of assessment is to measure progress, the tool should have parallel forms and reflect classroom practice. Unfortunately, educators cannot always choose tools appropriate for the given purpose because educational policies and constraints often dictate the tools that must be used.

The survey asked two questions to explore the purposes of assessment. The first question listed the purposes of initial assessment and asked the respondents whether their program rated each purpose as primary, secondary, or tertiary. The second question asked the respondents to select the three most important factors in choosing an assessment tool. This section discusses whether the assessment tools that respondents use are appropriate for and compatible with the purpose and context of the assessment.

Determining Placement

The findings clearly indicate that for the majority of respondents (80 percent), the primary purpose of initial assessment in their program is to determine the student’s placement.

PURPOSES OF ASSESSMENT

Moreover, the highest-ranked factor for choosing an assessment tool is the tool's ability to provide information for placement; 57 percent of the respondents selected this factor. Given that the respondents view determining placement as one of the primary purposes of assessment, educators and policy-makers need to ensure that the assessments they use or mandate accurately determine the student's level of proficiency.

In an ideal learning environment, assessment tools used for determining placement would meet the following criteria. They would be valid and reliable assessment tools that reflect current literacy and numeracy theories and curriculum. Moreover, they would be normed on an adult population and free of bias. Many assessments do not accurately portray the student's levels of proficiency because they fall short of this set of criteria. One respondent stated that the assessment tool used in Nunavut is "proving to be inadequate in assessment placement or insuring that the student is being placed properly. This is affecting student success in our program." Another respondent from British Columbia commented that "it is very stressful for students and instructors when someone is placed at a higher grade level than indicated by the assessment." Even though educators are aware of the limitations of the tools they use, they continue to use them for several reasons. For example, 50 respondents (13 percent) reported that they are mandated to use specific assessment tools. Out of the 50 respondents, 25 stated that the mandated tools they use are inappropriate. Other educators reported they do not have the time or funds to research and learn about the range of tools and approaches that are available.

Validity

The document *Principles for Fair Student Assessment Practices for Education in Canada* (1993), states that validity is enhanced when the assessment tool reflects instructional goals and objectives and is compatible with instructional approaches. Yet, many tests used for determining placement do not reflect the curriculum goals and objectives. For instance, the *Canadian Achievement Survey Test (CAST)* was criticized by one of the respondents because the "math portion is not reflective of the new math curriculum. The math test places students at a higher level than they should be placed, based on the new pure math curriculum." According to a review of this test, there is no validity data for

CAST, and “users must rely on their judgment of the face validity of the items” (Daniel, 2001). If the skills that a test measures do not align with what is being taught and learned in the adult basic educational system, caution should be exercised if the test is being used to determine placement.

Reliability

Reliability refers to the degree to which test scores are consistent over time and different test situations. The length of a test is one of the factors that influences reliability; tests with larger numbers of test items produce higher reliabilities. One of the respondents who used the *Brigance Diagnostic Reading Inventory of Essential Skills* stated that “as an initial placement tool I don't feel that it gave a very accurate assessment. The sampling of questions was too small.” Indeed, in the past, the *Brigance* has been criticized by a review in the *Mental Measurements Yearbook* for its lack of psychometric data on reliability (Matuszek, 1985). The reliability of CABS is also questionable because the directions do not specify how many demonstrations should be completed in each content domain. This can result in inadequate sampling. In a worst-case scenario, an educator might engage the learner in only a few demonstrations to determine placement. One of the respondents stated that some of the CABS demonstrations may not “depict the actual LBS (Literacy and Basic Skills) level.”

Norming Populations

Fifty-one respondents (13 percent) who administer assessments to determine placement are using instruments that have been standardized and normed on youth, rather than on an adult population. For example, the *Canadian Achievement Test (CAT)*, the *Canadian Test of Basic Skills (CTBS)*, and the *Gates-MacGinitie Reading Tests* were normed on students in the K-to-12 public school system. In a nutshell, tests normed on children and adolescents are not an accurate measure of adults' literacy and numeracy skills. Despite their obvious limitations, these tests continue to be used for placement. In fact, only a small percentage of the respondents (15 percent) who use tools for determining placement ranked the norming population as an important factor in test selection.

PURPOSES OF ASSESSMENT

A total of 39 respondents (10 percent) use *CAT* for initial assessment. Two respondents who use *CAT* remarked that “it can give false assessment of skills—usually lower than normal” and that “the written section does not necessary accurately reflect the student's placement.” According to a recent review (Soares, 2005), *CAT-3* is a well-constructed measure of basic academic skills in reading and mathematics. However, this test was not designed for the adult population; it was designed for students aged 11 to 20 years old. The norms, which were developed from a sample of 44,529 students from the public school system, are expressed as a grade equivalent score. Therefore, it is highly likely that *CAT-3* will give a distorted assessment of skills for the adult population.

The *Canadian Test of Basic Skills—Forms 7 and 8 (CTBS)* was used by only three respondents (1 percent). An educator who works in a college stated that the *CTBS* “does not always accurately reflect the student’s skills or abilities (i.e., sometimes weaker students score higher than their present skill level).” Another respondent who worked in a college that uses the *CTBS* commented, *CTBS* “has been used for many years—we understand that it doesn't test adults well and use it simply for initial placement.” The fact that only three educators use this tool does not sound very significant. But, when one considers the number of students who have been assessed over the years with this tool, one realizes the impact of a single tool used by a few people.

Some institutions continue to use the same tool, despite their awareness of the tool’s limitations. Although there are no data to verify this assumption, one could assume that some educators develop an emotional attachment to the tests they use. The educators are cognizant of the limitations of a test, yet they continue to use the test because they are familiar with its administration, scoring, and interpretation. This familiarity, in turn, creates a level of comfort with the test.

Bias

Bias occurs in testing when items systematically measure differently for ethnic, gender, or age groups. Many of the respondents commented that the tests they used contained cultural bias, particularly towards First Nations and ESL students. One respondent

noted that “the *CAT II* has cultural biases that do not measure First Nations' traditional knowledge, and generally First Nation students place at a lower level than necessary with the *CAT II*.” If educators use assessments that contain bias towards specific populations, the students' scores could well be deflated and not reflect their true abilities.

Kline (2004) recognized that “as with most tests of cognitive ability, questions are raised regarding potential for bias” (p. 550). Kline analyzed the relationship between subscale test performance on the *Test of Workplace Essential Skills (TOWES)* and the demographic variables of gender and language. Kline found “differences on all of the mean *subscale scores* for language groups. In each case, the non-English group scored about 10 percent lower than did those who had English as their first language” (p. 558). It should be noted that the *items* were not biased in favor of one gender or language when Kline used a differential item functioning (DIF) analysis. However, Kline concludes by stating that the “statistically significant difference in the estimated ability levels suggests that whether English is the first language of the test-taker should be a consideration for item development and interpretation of subscale performance” (p. 558). What does this mean for educators? The implication of Kline's study is that if educators think a test contains bias, they should probably adjust the test scores accordingly if the results are going to be used to determine placement.

Informing Instruction and Training

A student's assessment protocol can be used to develop responsive programs, design lesson plans, choose materials, and implement effective teaching strategies. The degree to which assessment can inform instruction depends on the qualifications and experience of the assessor and his/her ability to interpret assessments. One respondent noted: “Many students are not receiving proper instruction because we are not trained to assess their real learning problems. It is often a hit or miss.” Adult educators need “proper training on assessment tools and support to develop learning materials based on the scoring and interpretation of assessment results.” The initial assessment provides educators with a snapshot of the student's capabilities that is tied to a time and place, while ongoing assessment provides an unfolding montage of reading patterns and behaviours. On-

going assessment also keeps students abreast of their progress and determines whether they are benefiting from instruction; if the assessment indicates little or no progress, then instruction needs to be adjusted.

The assessment battery can be a combination of formal measures, such as commercial assessment tools, and informal approaches such as interviews, checklists, anecdotal records, observation, conferencing, demonstration activities, miscue analysis, portfolio assessment, learning self-assessment guides, peer assessment, and writing samples. The findings indicate that the respondents use a battery of formal and informal measures, with higher percentage of the respondents using informal approaches to inform instruction, in comparison to formal measures. A total of 92 percent of the respondents use commercial tools for initial assessment and 72 percent for on-going assessment. In comparison, 99 percent of the respondents use informal approaches for initial assessment and 98 percent for on-going.

Informal Approaches

Informal approaches have the potential to unveil the complicated realities of learning. Observation, for example, can be a very powerful assessment tool. Let's say you notice that a student prefers to "stick to the facts" rather than "read between the lines." Informal approaches allow educators to interact with the student to explore this phenomenon at a deeper level. Commercial assessments, on the other hand, would have a straightforward answer to this phenomenon: the student needs instruction in making inferences. However, through informal approaches such as conferencing, you might discover that the student does not realize he/she can exert power over the text and make inferences. Conversations with students about "reading the lines" and "reading between the lines" can help educators to understand the degree of power that students assert over the text.

Some of the informal approaches were used by the majority of the respondents, while others were not. For example, 80 percent of the respondents engaged in observation for on-going assessment, while peer assessment was used by only 16 percent of the respondents (see Figure 4).

PURPOSES OF ASSESSMENT

Figure 4. Approaches used for different stages of assessment. (N=395)

Approaches	Initial		On-going		Exit	
	Count	%	Count	%	Count	%
None	3	1	7	2	24	6
	381	96	230	58	284	72
Checklists	190	48	200	51	121	31
	168	42	211	53	123	31
Observation	260	66	316	80	167	42
	106	27	161	41	87	22
Demonstration Activities	132	33	241	61	145	37
	95	24	64	16	23	6
Portfolio Assessment	50	13	153	26	110	28
	69	17	144	36	77	19
Peer Assessment	8	2	55	14	15	4
	314	79	280	7	187	47

Although interviews, miscue analysis, and writing samples are used for all stages of assessment, the respondents prefer to use them for initial assessment. Similarly, checklists, anecdotal records, observation, conferencing, demonstrations, portfolios, learner self-assessment guides, and peer assessment are used across all stages of assessment; yet the respondents prefer to use these particular approaches for on-going assessment. Many of the latter approaches, such as portfolios, conferences, anecdotal records, and observation lend themselves to on-going assessment, as they need to be implemented and documented over a period of time.

Selecting Appropriate Tools

The findings show that for a high percentage of the respondents (70 percent), the primary *purpose* of initial assessment in their program is to help plan for individual instruction. Yet, a significantly smaller percentage of respondents (18 percent) reported that the ability of a tool to provide diagnostic information for instruction was an important *factor* in choosing an assessment tool. In a similar vein, 64 percent of the respondents indicated

PURPOSES OF ASSESSMENT

that the primary *purpose* of initial assessment is to identify the student's strengths and weaknesses. Yet only 39 percent of the respondents reported that a tool's ability to assess strengths and weaknesses was an important *factor* in choosing an assessment tool. This set of findings leads one to hypothesize that educators who want assessment tools to inform instruction might be choosing inappropriate tools.

The data confirmed this hypothesis. A total of 294 respondents indicated that in their program, the primary purpose of initial assessment was to plan for instruction and/or identify a student's strengths or weaknesses. However, only 102 of these respondents actually choose and use assessment tools that are aptly suited for this purpose. In other words, only one in three educators are using tools capable of meeting their stated purpose: to inform instruction. In most cases, the respondents are using tools that are better suited for determining placement. For example, 22 of the 294 respondents (7 percent) relied solely on one commercial instrument—*CAAT*—for initial assessment. This standardized test is outdated and does not reflect current views of the reading process; consequently, it does not provide enough information to adequately inform the teaching and learning process.

The International Reading Association is also concerned about tests that define reading as a set of discrete skills, rather than a set of practices and processes, especially when these tests are used to inform instruction. The Delegates Assembly of the International Reading Association adopted the following resolution:

Reading assessment must reflect advances in the understanding of the reading process. As teachers of literacy we are concerned that instructional decisions are too often made from assessments which define reading as a sequence of discrete skills that students must master to become readers. Such assessments foster inappropriate instruction. (p. 1)

As educators, we need to ensure that the assessment tools we use match the purpose for which they were intended.

ASSESSMENT PRACTICES

Effective Practices and Procedures

The respondents were provided with a list of best practices and asked to select the ones that their program followed. The two practices that received the highest ranking were directly related to protecting the student. Eighty-seven percent of the respondents reported that their assessments are conducted in a non-threatening manner, which is a way of *protecting* the student’s emotional well-being. The importance of non-threatening assessments was a recurring theme, woven into the responses to open-ended questions throughout the survey. Another respondent wrote:

I think the most critical issue is that we need to value the students and not place them in assessment situations where they are at risk of suffering humiliation...I think that nationally, we need to address this issue, because I am aware that some institutions simply test the students using the *CAT* test and the results are either acceptance into a program or rejection.

For many respondents, the testing environment is one of the most critical issues that need to be addressed at the national level.

Eighty-four percent of respondents indicated that the student is ensured of confidentiality, which is a way of *protecting* his/her privacy. While the respondents are cognizant of the importance of confidentiality, only 47 percent indicated that their program has a written policy to ensure confidentiality when the assessment protocol is transferred to another program. According to the *Principles for Fair Student Assessment Practices for Education in Canada* (1993), “transfer of assessment information from one school to another should be guided by a written policy with stringent provisions to ensure the maintenance of confidentiality” (p. 13).

The practice of sharing assessment results with the student received the third highest ranking (82 percent). The respondents provided details about the type of information that is shared with the student after the initial assessment (see Figure 5). In addition to sharing

the results of the assessment, educators provide a description of the program the students will be placed in, the type of instruction they will receive, expectations, and the roles and responsibilities of the instructor and student. Only 42 percent of the respondents provide the students with their actual assessment score. One respondent commented that “the assessment scores are shared with some individuals at the discretion of the assessor.”

Figure 5. Type of information provided to student after initial assessment.

Type of Information	Number of Respondents (N=388)	Percentage
Assessment scores	170	44
Literacy, numeracy, or essential skill or basic education level	219	56
Description of assessment results	287	74
Description of instruction they will receive	310	80
Description of program they will be placed in	316	81
Program expectations	266	69
Role and responsibilities of instructor and student	291	75
Learning contract	115	30
Training plan	203	52

A total of 12 people skipped this question.

Support and Constraints

In an ideal world, adult educators would have secure employment and benefits, along with paid access to professional development opportunities, consultants, and resources. Moreover, they would be able to network with colleagues and would have opportunities to share their beliefs and ideas about assessment. However, the world of adult literacy educators is less than ideal, making it quite challenging to engage in best practices with respect to student assessment.

The findings indicate that time is both the highest-ranked support and highest-ranked constraint, with 45 percent of the respondents reporting that they receive time to engage in professional development and 33 percent reporting that they do not have time to administer, interpret, report, and/or follow up assessments. This raises the question,

“What is the point of engaging in professional development on assessment if one does not have the time to utilize what he/she has learned?” Professional development is effective only when practitioners have the time to practice, dialogue, and reflect upon their new knowledge. Simply put, until the issue of capacity is addressed, professional development on assessment will not lead to more effective practice. The words of one respondent sum up the dilemma: “The Ministry expects us to do it (assess), but never provides enough funding.” Funders need to ensure that educators have the capacity to respond to what is learned through professional development.

One woman commented on the “huge time factor involved in planning appropriate ongoing and exit assessments.” This, coupled with the fact that many students leave mid-way through the program, without providing notice, makes it difficult to use assessments to monitor progress. It is also challenging to make assessment a priority when there are so many competing responsibilities, duties, and pressures that consume and impinge upon an educator’s time. The following statement, from the director of an adult basic education program in a small rural college, represents the multi-faceted roles of many practitioners who work in rural, urban, *and* remote locations:

I feel that my initial assessments are good but since I am responsible for every aspect of the program from administration, assessment, training, tutor training, matching, goal setting, plans, information and referral, etc., I find that my ongoing and exit assessments are therefore sometimes lacking.

The issue of access surfaced as the second highest ranked support *and* constraint. A high percentage of the respondents have access to expertise or resource people (43 percent); access to journals, articles, and reports on assessment (42 percent); and access to literature accompanying assessment tools (30 percent). On the other hand, obstacles to effective assessment practice included isolated working conditions (27 percent) and access to resources (20 percent). Living in a geographically isolated community means that access to colleagues, professional development, support, and assessment tools is limited. Practitioners who live in urban centres also experience isolation, simply because

networking opportunities have not been established or are limited. One respondent from Winnipeg noted:

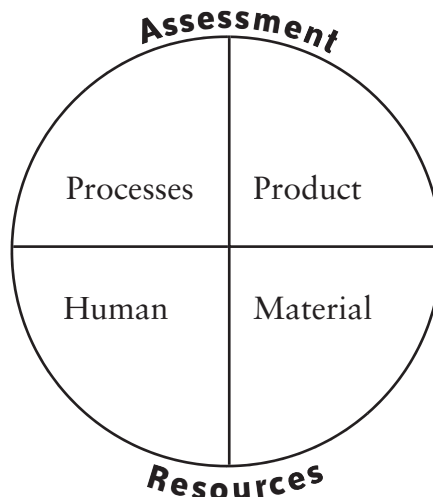
We seem to work so much in isolation. We [program co-ordinators] do meet, but this has only just started this year and we have not addressed the issue of assessment. Basically, we all just seem to do our own “thing” and make our own decisions based on our own knowledge and skill set.

While assessment can be learned through trial and error, assessment is also a socially constructed phenomenon that needs to be learned through dialogue and reflection with colleagues. It should be noted that limited access to resources is not restricted to practitioners living in isolated areas; in fact, many educators in urban centres noted the need for a library of assessment tools.

Advocating for Change

If you could wave a magic wand, what changes, if any, would you make to assessment practices in your program? This question generated interest, resulting in feedback from 335 respondents. Data analysis revealed that change revolved around two key areas: assessment processes and products, and human and material resources (see Figure 6). Although these two areas are presented as separate entities, they are connected, forming a gestalt. For example, additional human and material resources are usually a prerequisite to changes in assessment processes and improvements in assessment products.

Figure 6.



The Assessment Process

Comprehensive assessments.

Many respondents expressed a desire to administer comprehensive, in-depth intake assessments with individuals, rather than conducting group assessments. They wanted to analyze and interpret the assessment protocols in order to make informed decisions about instruction and design learning plans tailored to the individual's needs. Further, they wanted time to discuss the assessment results with the students, and provide an opportunity for students to ask questions. The data indicated that time was the primary barrier preventing people from conducting comprehensive assessments and providing feedback to the student.

Stages of assessment.

Assessment can strike fear into the hearts of students because tests symbolize their negative experience in the K-to-12 school system. Yet intake assessment continues to be the first step in the registration process for many upgrading programs. Several survey respondents did not want the initial contact to include assessment because it can discourage prospective learners, and it “puts up barriers and resistance.” One woman, who worked in a community-based program, wrote “I would allow a longer ‘get to know you’ time frame before the assessment testing is completed.” Another respondent who worked in the correctional system wanted “a process where the inmate would be stabilized before being assessed.” The practical considerations of postponing assessment, however, are particularly difficult in colleges dealing with large intakes of students; in these situations, determining placement in an adult basic education class is a priority in the registration process.

One respondent described a successful change to her college's intake assessment process. A full description follows, in the hope that others can benefit from this idea.

In the past, many low-level students would be put into the group testing situations using the *CAT* tests. They would find this experience very humiliating because they did not have the necessary skills to cope with

the testing material. Now, any student who does not have the prereqs to get into a course or program must sign up for a PrEP session (Preparation for Educational Placement). Through this 15- minute interview, we are able to determine which is the best way for the student to be assessed (i.e., one-on-one using CARA; group using CAT; ASD (access for students with disabilities); or ESL for those whose English skills are fairly low). We have had great success with the PrEP sessions, and we now have over 80 percent of students going through assessment registering in courses. I cannot think of anything else that I would wish for.

This college has implemented a procedure that reduces students' risk of humiliation during the assessment situation. While this procedure does not postpone the assessment process, it does create a more positive experience for the student and helps to alleviate test anxiety.

The data clearly indicated that intake assessments were administered more frequently than were on-going and exit assessments. Among the 400 respondents, 91 percent conducted intake assessments, 71 percent on-going, and 47 percent exit. The instructors wanted the opportunity to measure progress, particularly through on-going⁴ assessments, “on an as-need-basis, instead of an as-time-allows basis.” In order to measure progress in a reliable manner, the respondents noted that assessment tools need to have parallel forms for pre- and post- testing. A few respondents noted that a tracking or record-keeping system would assist in documenting and monitoring progress.

The percentage of respondents that conduct exit assessments is quite low, given that funders' accountability frameworks usually require programs to demonstrate learner progress. Yet, when we consider that many students in community-based programs “just drift away” and those in post-secondary programs leave with little or no notice, the statistics make sense. Studies have shown that students often drop out of programs because of socioeconomic-circumstantial factors (Long & Middleton, 2001; Roussy & Hart, 2002).

⁴The terms “formative” and “continuous” were also used to describe on-going assessments.

The Assessment Product

The respondents spoke of the qualities they wanted in an assessment tool. Data analysis revealed four commonly cited qualities: useful, user-friendly, current, and culturally sensitive.

Useful.

Many respondents were searching for the “perfect” assessment tool—a “foolproof instrument with 99.9 percent accuracy in results.” According to one respondent, this tool will “guarantee that my initial placement and individualized instruction will always be right for the student in question. Regardless of what assessment tool I use, there is always an element of hit and miss.” The findings indicate that respondents want reliable and diagnostic intake tools that determine placement and inform instruction, thereby optimizing teaching and learning. Instructors want on-going assessment tools that reveal how the students are doing and what to do next. They want assessment instruments to yield useful data that will “mean something” to instructors, students, and funders.

User-friendly.

The respondents emphasized that they wanted a user-friendly assessment tool—one that was simple to administer, score, and interpret. The need for a simple, easy-to-use tool appears to stem from two primary factors: time and expertise. For example, many of the instructors in post-secondary institutions assess students during class time, making the need for a user-friendly tool a necessity. While 80 percent of the survey respondents held a bachelor’s degree or higher, only 56 percent had taken a credit course focusing on assessment.

Current.

A common request on the respondents’ wish list was for updated assessment tools relevant to the curriculum and the student population. The findings show that the most frequently used standardized assessment tool—the *Canadian Adult Achievement Test (CAAT)*—was published in 1986 and has not been revised. One respondent, who coordinates adult basic

education programs for a school district that uses *CAAT*, expressed these concerns with older tests:

1. Sometimes they no longer match a curriculum that is relevant to the students' needs.
2. Sometimes the teacher modifies the curriculum to match the test.
3. Students may have access to old copies of the tests (or to students who have taken it previously), bringing validity into question.

In addition to these three points, older tests are usually based on outdated reading theories. *CAAT*, for example, is based on the text-based model of reading, rather than on a social constructivist or new literacies model. In fact, in spite of changes in reading theories, there has been little change in either the basic content or the format of standardized assessments since the 1930s (Bainbridge & Malicky, 2004).

Culturally sensitive.

Due to the diversity of students attending adult basic education programs, instructors want to use assessment tools that are “fair” and without “bias.” The respondents stressed that all tools need to be geographically and culturally sensitive, with respect to First Nations populations and visible minority groups who have taken English as a Second Language. Many students reside in remote areas, which means that they experience test items that are geographically biased. For example, consider a *CABS* test item that asks questions about paying parking tickets. Would this be relevant to students who live in isolated hamlets in the territories or in rural areas where parking tickets are non-existent? However, according to Johnston (1998), bias is always embedded in assessments. Johnston writes that “because of the cultural nature of literacy, it is not possible to create an unbiased literacy test; tests always privilege particular forms of language and experience” (p. 98). Despite Johnston’s claim, test developers are not off the hook when it comes to developing culturally sensitive assessment tools. Test developers have a responsibility to reduce bias in tests by analyzing item data separately for different populations and then identifying and discarding items that appear to be biased.

Human Resources

Capacity.

According to Merrifield (1998), in order to meet the demands of accountability, delivery agencies that provide educational services need the capacity to perform—that is, to achieve the performance goals, and to be accountable—that is, to document achievements. The findings from this survey indicated that many respondents are mandated by funding agencies to conduct comprehensive, on-going, and exit assessments, yet they do not have the capacity to fulfill this mandate.

While many respondents wanted more release or paid time for existing staff to administer and interpret assessments, others wanted to hire one person to conduct initial, on-going, and exit assessments. In fact, respondents from seven jurisdictions expressed a desire to have one person responsible for assessment. These quotations reflect the need for a new, expanded organizational capacity in community-based programs, colleges, and school boards:

Assessment would be done by one person whose job it was to assess the student, develop a learning plan with the student and evaluate progress on a regular basis – at least every three months. That person would have regular session with the tutors, to set learning goals and make sure the students were supported in the endeavour to achieve these goals. (Community-based program director)

I would have the money to pay one person to be our assessment expert. She would have time to spend outside the classroom with each learner for initial assessment and training plan development. (ABE school district director)

There would be an individual who devoted time and energy to assessing, evaluating, counseling, and supporting students in their development—fulltime—only job—work with, guide, support students. (ABE college director)

Fifty percent of the respondents who wanted one person to conduct assessments were from Ontario. Every month, literacy and basic skills (LBS) agencies in Ontario

are required to gather and input statistical information into the ministry's electronic information management system. While this system assists the ministry in determining whether programs are achieving goals and objectives, it presents a challenge to the LBS programs, as practitioners spend a great deal of time gathering and inputting information. In fact, the issue of capacity was cited as a recurring barrier with respect to implementing performance measurement in a survey that was conducted by the Ontario Literacy Coalition (2002). This might explain why so many Ontario respondents expressed the desire to assign one person to the role of assessment.

Communication channels.

Some colleges and school districts do have a testing or counselling centre where one person is assigned to administer intake assessments. In a few instances, colleges have a person within the adult basic education department (ABE) who is responsible for assessment. A few of the respondents who worked in testing or counselling centres expressed the need for more consultation with ABE instructors in order to ensure individualized instruction, based on the assessment. On the other hand, some of the instructors wanted the assessors in testing or counselling centres to arrange case conferences and to share test results with faculty in the form of teaching and learning strategy recommendations. One respondent stated that "there needs to be more discussion about potential students between the assessment officer and the instructor and/or chair who does the interviewing." This suggests that having one person assigned to assessment doesn't always ensure that instructors and students will receive the information they need to teach and learn. In addition to having an assessment or counselling centre, post-secondary institutions also need effective communication channels between assessors and instructors.

Referrals.

Usually, adult basic education practitioners do not have the qualifications to diagnose students at risk of learning disabilities. Consequently, many respondents want the financial resources to access experts to conduct psycho-educational assessments, or they want sufficient resources to contract professionals to determine specific learning

requirements and challenges. In summary, programs need the resources to make referrals when specialized assessments are required.

Material Resources

The majority of respondents spoke of access to assessment tools and professional development in the same breath. Choosing appropriate assessment tools can be a daunting task. First, one needs to know what is available in terms of commercial assessment tools. Second, funds to purchase these tools are required. Following the purchase of new tools, educators must deal with the next hurdle—learning to use the instrument. The complexity of the assessment instrument will dictate the amount of training educators will require in order to ensure accuracy and reliability during administration, scoring, and interpretation. According to the survey findings, respondents need the material resources of time and funding to access assessment tools and professional development.

The respondents expressed a desire for a resource library of assessment tools or access to a diverse range of materials. One respondent from a community-based program lamented, “I realize all the resources that are available but the time to study and implement them just is not available given the hours the program works on and the other needs that must be slotted into those hours.” Practitioners need time to explore and familiarize themselves with other resources.

The respondents want training to gain or enhance their knowledge about specific assessment tools; to learn about recent studies on assessment theories and methodology; to receive confirmation that their assessment practices are adequate; and to ensure that they “haven’t developed any bad habits or short cuts.” They also expressed a desire for networking or sharing sessions with their colleagues to “discuss and share resources pertaining to assessment.” Specifically, the respondents want to learn about the range of assessment tools that are “on the market, what they use, how they use them and when, what are the best tools to use to determine reading levels, writing levels, and math levels.” Finally, some respondents wanted mentoring to assist them with interpreting test results.

PROFESSIONAL DEVELOPMENT

Across Canada, the credentials needed to enter the field of adult literacy and basic education vary among colleges, school boards, workplaces, and community-based programs. Some colleges, for example, require instructors to have a bachelor of education diploma or degree, while others do not. Many educators with a B.Ed. degree enter the field with little experience related to working with adults. Although some governments have responded to the diversity among educators by providing certification programs, only 5 percent of the respondents reported access to a provincial or territorial certification program. Recently, the diversity of educators' backgrounds coupled with the current emphasis on accountability and documented learner outcomes has resulted in a heightened awareness of the need for professional development.

This section describes the respondents' education and experience in the field of adult literacy and basic education. Next, the question "What do educators want to learn about assessment?" will be addressed. This will be followed by outlining the ways in which educators learn about assessment, both informally and formally. The section on professional development will conclude with a discussion of the types of professional development activities they can access, along with their preferences.

Education and Experience

The breadth and depth of the respondents' education and experience varied. At one end of the spectrum, a participant held a high school diploma and had worked for less than one year in adult literacy; and at the other end, a respondent held a doctorate and had 15 years' experience. Fifty-five percent of the respondents had worked in the field of adult literacy for nine years or more, while the remaining respondents (45 percent) had less than nine years' experience (see Figure 7).

PROFESSIONAL DEVELOPMENT

Figure 7. Years of experience.*

Years of Experience	Number of Respondents (N=388)	Percentage of Respondents
Less than 1	23	6
1 - 2	24	6
3 - 4	52	13
5 - 6	49	13
7 - 8	29	7
9 -10	43	11
11 - 15	73	19
16 - 20	54	14
More than 20	41	11

* 12 people skipped this question.

The respondents were well-educated, with over one-half (55 percent) holding a bachelor of education degree or diploma, 24 percent holding a master's degree, and 1 percent holding a doctoral degree. Only 4 percent of the respondents did not have a post-secondary certificate, diploma, or degree. Slightly over one-half of the respondents (56 percent) had taken university or college credit courses that focused on assessment.

Topics of Interest

The respondents were provided with a list of topics related to assessment and asked to select the topics they wanted to learn. The aggregate results were compared with results based on years of experience in the field of adult literacy. At the aggregate level, the three most highly ranked topics were learning how to choose assessments, how to interpret assessments, and how to plan for instruction. A deeper analysis reveals a hierarchy of interests, related to years of experience (see Figure 8). Choosing an assessment tool and interpreting assessments were ranked as the top two choices by those with six years of experience or less. Those with seven to twenty years of experience indicated that planning for instruction was their top choice.⁵ Clearly, learning needs change, depending on years

⁵ For those with 7 to 8 years experience, choosing an assessment tool and planning for instruction both ranked as the number one choice.

PROFESSIONAL DEVELOPMENT

of experience. Interestingly, those with the most experience (more than 20 years) ranked choosing and interpreting an assessment tool as their top two choices.

Figure 8: Years of Experience and Topics of Interest*

Topics	Years of Experience															
	0-2 N=42		3-4 N=50		5-6 N=49		7-8 N=28		9-10 N=40		11-15 N=72		16-20 N=52		20+ N=41	
	N	%	N	%	N	%	N	%	N	%	N	%	N	%	N	%
Choosing an assessment	36	78	31	62	32	65	13	46	23	58	36	50	26	50	22	54
██████████	28	61	24	48	27	55	9	32	20	50	25	35	17	33	16	39
Interpreting an assessment	34	74	32	64	32	65	11	39	25	62	35	49	25	48	24	59
██████████	28	61	25	50	29	59	9	32	25	62	36	50	21	40	19	46
Planning for instruction	32	70	29	58	31	63	13	46	29	73	37	51	29	56	21	51

* A total of 16 individuals skipped this question.

A total of 79 respondents took the opportunity to write about what they would like to learn about assessment. Their comments were sorted according to years of experience. Gaining knowledge about the range of existing assessment tools emerged as the strongest theme among all the respondents, regardless of their years of experience. One respondent with over 20 years of experience stated that “it would be wonderful if there was one resource booklet or such that would list an extensive range of assessment tools for various purposes.” This comment was echoed by another respondent with over 16 years of experience. She wanted “an updated overview of the variety of assessment tools available, how they are different, how they are interpreted and used to plan and instruct.” The need to know about the range of available assessment tools was a recurring theme throughout the survey. The respondents with nine or more years of experience were particularly interested in tools that could be used with specific populations, such as tools to assess students with very low literacy skills and tools for adults with intellectual disabilities.

Learning About Assessment

The survey asked the respondents, “How did you learn about assessment?” and provided them with a list of responses to choose from. The respondents could select more than one answer. In a recent study (2003), Smith and Hofer identified three avenues for educators to learn: (1) independently through self-study or working with students; (2) informally through colleagues; and (3) formally through professional development (PD) activities. The findings from this survey reveal that learning independently outweighs learning through informal and formal activities.

Learning independently received the highest ranking, with 86 percent of the respondents reporting that they learned through practice—“by doing it.” Fifty-eight percent of the respondents indicated self-study activities, such as reading articles, journals, and reports. Seventy percent of the respondents engaged in informal learning through their colleagues; this response received the second highest ranking. This includes mentoring and coaching opportunities; accessing the expertise of consultants, resource people, and colleagues; reflection on practices with other staff members; and network meetings. This type of learning is convenient for educators with limited time and funds for travel and PD workshops. Finally, 62 percent learned by participating in formal PD activities such as workshops, training events, and e-conferences; this response ranked third. Interestingly, only 27 percent reported that they learned from credit courses, despite the fact that 80 percent held a bachelor’s degree or higher.

Smith and Hofer (2003) hypothesized that “isolation, part-time job structure, and limited opportunities for professional development may require teachers to rely more on learning from self-study or from their own experience” (p. 2). However, the findings from this survey dispel this hypothesis. The findings reveal that part-time job structure does not influence how educators learn about assessment. Eighty-seven percent of educators who work between 31 to 40 hours *and* 87 percent of educators working between 10 to 30 hours learn about assessment from their own experience—through “doing it.” As well, educators who lived in urban areas have greater access to professional development activities; interestingly, they relied on learning from practice to the same extent as those

who lived in remote areas. In fact, a higher percentage of educators who lived in urban areas (92 percent) than those who lived in remote areas (82 percent) learned from their own experience.

Types of Professional Development

The survey listed a variety of professional development activities that included in-services, workshops, e-conferences, on-line training, self-study modules, credit courses delivered on-site and on-line, mentoring/coaching, access to expertise or resource people, and provincial/territorial certification program. The respondents were asked to select the types of PD activities that were available to them in the past two years and then choose the types of PD activities they would like.

The findings indicate that there is usually a direct match between the respondents' PD preferences and the types of PD they have been able to access in their jurisdiction. At the aggregate level, 74 percent of the respondents selected workshops, 53 percent selected access to resource people or expertise, and 48 percent chose in-service as their PD preference. At the aggregate level, these ranked as the top three PD preferences *and* are also the most common form of PD that respondents can access. In-service activities are not appropriate for many practitioners in remote areas, as there is usually only one staff person within a large geographic area. Within each jurisdiction, the findings are slightly different. Mentoring ranked as one of the top choices in Prince Edward Island, Quebec, Nunavut, British Columbia, and Newfoundland; while on-line training ranked as one of the top three choices in Ontario and Nunavut.

The findings indicate that the respondents prefer PD activities that allow face-to-face interaction with individuals and groups. At the aggregate level, educators selected workshops, in-service, and access to resource people or expertise as their top three PD preferences for learning about assessment. Accessing resource people or expertise differs from workshops and in-service in two ways. First, this option allows for observation and feedback; for example, a resource person could observe a practitioner administering an assessment and then provide feedback. One respondent confirmed this, by stating that on-site coaching serves “to validate assessor’s proper use of meaningful tools.” Second, this

option allows for an on-going process rather than a one-shot event. In fact, the majority (71 percent) of respondents who chose accessing resource people as their preference indicated that they wanted on-going access to professional development. Belzer (2005), an American researcher, advocates creating master teacher structures that utilize resource people or experts for the purposes of professional development. She argues that:

...creating expert practitioner positions like these could help create a more visible “job ladder” in the field and promote the growth of local professional development networks as practitioners from within and across programs meet work and learn together within close geographical proximity (pp. 45-46).

In Canada, some jurisdictions, such as Alberta, have been using professional development networks for close to 20 years. These networks function within geographical regions and utilize local resource people within each given region.

Mentoring, although a popular choice in five jurisdictions, appears to be an under-utilized option, considering that it can occur within the program, making it more convenient for those with limited time and budgets for travel and participation. The majority of respondents (71 percent) who chose mentoring as their preference indicated that they wanted on-going access to a mentor. Some researchers believe that mentoring is a good choice for PD because it can help educators acquire a “change orientation rather than just adopt new techniques” (Smith, Hofer, Gillespie, Solomon, & Rowe, 2003, p. 3.) Perhaps educators who engage in a mentoring process might begin to question their assumptions about assessment, which in turn might lead to changes in their literacy practices.

On-line training and e-conferences fall under the rubric of technology. Again, this was an under-utilized option. In the past two years, only 61 respondents (16 percent) have been able to access on-line training on assessment, and 32 respondents (8 percent) have been able to access e-conferences on this topic.

MUDDY WATER

May we be like the lotus, at home in the muddy water.
-Judith Hanson Lasate

I guess one issue is that I wonder if what I do fits with what is being done across Canada...and does that matter? So perhaps standardization is my hot topic...but then maybe I mean best practices because I tend to want to customize my assessment depending on the needs of the student. I don't want to be held captive by standardized tests but I do want to know that I am being thorough and giving the client the best possible support. Make sense?
-Survey Respondent

In an open-ended question, respondents were asked to describe the most critical or burning issue pertaining to assessment that needs to be addressed within their jurisdiction or at a national level. A total of 309 respondents described their issue(s). These descriptions were coded and analyzed to determine dominant themes.

The opening quotation for this section represents the two dominant themes that emerged from the data: uniformity and diversity. Some respondents wanted a uniform set of national levels, standards, and assessment tools, while others recognized the need for a set of tools that were culturally relevant, wholistic, and customized for populations with specific learning needs. A few respondents envisioned a set of national standards and benchmarks that embraced diversity:

The respondents used the following terms and phrases to discuss the theme of uniformity: “consistency,” “common assessment,” “continuity,” “universal,” “national standards.” “standardization of tools,” “consensus,” and “national benchmarks.”

I appreciate the efforts of individuals and companies to develop assessment tools. However, I view education as a national priority with allowances for regional and cultural diversity. Therefore, I would like to see national assessment standards for various levels adopted and endorsed as a means of evaluation and accreditation.

An authentic tool that addresses regional variability and can be tied to national benchmarks [and] standards.

There should be a standardization of assessment across the board while, at the same time, allowing for individual differences.

Clearly, the challenge lies in creating national standards, benchmarks, and assessment tools that embrace the multi-faceted purposes of education and are tailored to the diversity of regional and local needs, as well as to the diversity of learners and their ways of learning.

It should be noted that the data was peppered with the words “standards” and “standardized,” and these terms meant different things to different people. In some instances, “standardized” meant a type of assessment such as the *CAAT* or *TABE*. In other instances, “standardized” meant that everybody uses the same assessment tool. The term “national standards” appeared to be synonymous with best practices—they are an ideal or goal to work towards.

Addressing Uniformity

Many respondents expressed a need for a uniform set of national standards and/or assessment tools, but did not explain how this would benefit the literacy community. One respondent commented, “there should be an assessment tool for adult literacy programs that is the same throughout the province, if not the country.” Another respondent wanted “provincial and/or national assessment tools that are understood and accepted across institutions and jurisdictions.”

Respondents who did explain the benefits of national standards and/or assessment tools focused on the importance of a seamless educational system that would allow students to transfer between jurisdictions and across delivery agencies. These statements focus on the importance of portable assessment tools:

A standard assessment and referral system across the country would be great. We don't want a person to be reassessed when he or she is already assessed elsewhere.

A standard assessment used across Canada would be very helpful to those moving from one province to another to determine actual levels of an individual's ability in each subject area.

Frontline literacy workers should work together to come up with tools all of them could use to create uniformity among the agencies and ensure the flow of learners from one program to another without going through the reassessment process.

Programs are using very different assessment materials and using different measuring tools and language, which causes unnecessary retesting when the learner is referred to a lower or higher literacy agency.

The assumption behind these quotations is that a seamless educational system would reduce the need to reassess students who are transferred to another program. In other words, the student's assessment protocol could be forwarded to his/her new program. The staff in the new program could use the assessment results from the student's former program for the purposes of placement and instruction. This sounds plausible, but is it? The survey findings indicated that only 47 percent of the respondents administer exit assessments. This means that initial and/or ongoing assessment protocols from the student's former program would be forwarded to his/her new program; these protocols would be outdated and would not be suitable for the purposes of placement or instruction. This, in turn, means that the student *would need to be reassessed* in order to have an accurate assessment of his/her current skills. Therefore, the assumption that a seamless educational system would reduce the need to reassess students appears to be faulty.

Addressing Diversity

The following respondents were critical of standardized assessments, universal tools, and standards because they do not meet the needs of individual learners:

I think we need to make sure that assessment doesn't become standardized. It needs to always meet the specific needs of each individual. We need to be wary of too much intervention from governments.

We know universal tools do not meet the needs of our learners.

We are supposed to be delivering individualized programs so why would we have standardized testing?

Standard assessments don't work and are geared towards performing certain tasks that aren't always useful. You have to take into account where the learner is and what they know outside of reading and writing.

The most burning issue is our national love affair with 'standards.' We have become so tied to number grades and 'meeting standards' that we are actually killing many learners' chances for success.

The survey findings indicated that respondents want assessment tools that are culturally relevant and customized for populations with specific learning needs. The following quotations reflect the need for customized assessment tools.

We need assessment tools that look at streams other than the mainstreams.

Culturally appropriate tools that reflect the assumed knowledge that one would have if one were born and raised in northern Canada.

A fair culturally based assessment that considers all prior learning of adults in an ESL setting.

The complete lack of research and tools suited to the assessment and evaluation of the literacy skills of adults with intellectual disabilities.

It is important that instructors have a choice in the tools that they use since every site and area is different in terms of clients serviced, culture, etc.

The respondents also expressed the need to develop wholistic assessment tools that assess more than the 3Rs:

Most tools do not acknowledge other skills—oral skills, emotional/spiritual intelligence—that also impact on the development of reading and writing skills.

To develop a tool to complement the academic assessment and to assess social/emotional life competencies, so programming could be developed to ensure greater success in the academic program.

Very hard to measure the affective domain of learning. This area of learning can be transformative—so how do we as assessors and practitioners measure this?

Educators want tools that encompass and measure literacy practices, rather than just literacy skills; and social/emotional competencies, rather than just academic outcomes.

In my jurisdiction we need to agree on an assessment tool we can all use that measures skill level as well as considering non-measurable outcomes.

Within the sphere of adult basic education in Canada, agreement has not been reached about the notion of competence. Some stakeholders view student competence in adult basic education programs as progress in reading, writing, and numeracy, while others view learning through a broad-angle lens and advocate for wholistic learning. Educators realize that students need more than the 3Rs to gain access into the workplace and to participate in society. Educators are also cognizant of the mismatch between the learning outcomes or performance measures endorsed by the governments and the aspirations of the students.

Research, as well as practice, supports the notion of wholistic learning and assessment (Battell, 2001; Grieve, 2003; Horsman, 1999, Johnny, 2004; Silver, Klyne & Simard 2003). However, educators and policy-makers continue to struggle with ways to measure wholistic learning; we need to figure out ways to count what really counts.

If the government invests funding into the development of a set of tools that are culturally relevant, wholistic, and customized for populations with specific learning needs, do they

need to be referenced to a set of levels and benchmarks? If so, which set? The next section discusses these questions.

Referencing Tools to Levels

In Canada, each jurisdiction, with the exception of Alberta and the Yukon has developed a framework of literacy levels or stages. Some respondents from Ontario expressed frustration with the multitude of levels and benchmarks that currently exist.

We are working with too many differing benchmarks –IALS, LBS levels, grade levels. How does one even attempt to incorporate these into assessment tools that learners can understand and relate to?

In Ontario it is very confusing to deal with IALS levels, essential skills, Literacy and Basic Skills levels, grade levels etc. If it is confusing for practitioners, it is certainly confusing for students - we need integrated meaningful programs for adult literacy unfettered by restrictive rules and government silos.

Each jurisdiction’s framework has a different number of levels or stages that correspond to different benchmarks. These levels serve to shape the construction of some assessment tools, such as *CABS*, which is aligned to the five levels in Ontario’s Learning Outcomes Matrix.

The levels in each jurisdiction are artificially constructed by governments, and in most cases, the benchmarks or outcomes are not based on research or practice in adult literacy or numeracy. For example, research shows that there are few differences in reading strategies used by adults across different levels of reading proficiency. Most matrices or rubrics, however, reflect a developmental process in which adults need to learn “lower level” skills and strategies before they can develop “higher level” skills and strategies. However, research shows the need for a spiral approach whereby processes, skills, and concepts are revisited and developed to new levels of complexity and the text becomes increasingly more difficult as adults progress through literacy levels (Campbell and Malicky, 2002).

Some respondents want clear adult literacy levels and “a common language with regard to measuring levels.” Currently, *IALS* is the only set of national levels and one assessment

tool—*TOWES*—has been correlated to it. One might argue that other assessment tools could be articulated to the five levels in the International Adult Literacy Survey (*IALS*); however, *IALS* Level 1 would exclude a high percentage of students enrolled in adult literacy programs who are emergent readers and writers. Moreover, the narrow range of the *IALS* tasks or benchmarks does not align with what is being taught and learned in the adult literacy educational system.

A set of national levels and benchmarks based on research and practice has the potential to embrace uniformity and diversity. A set of assessment tools that are culturally relevant, wholistic, and customized for populations with specific learning needs could be articulated to a national set of levels and benchmarks. A flexible system that employs multiple assessment tools and approaches has the potential to meet the needs of diverse student populations and honour the complex nature of learning.

KEY FINDINGS AND RECOMMENDATIONS

An on-line national survey of student assessment in adult basic education programs was conducted to gather information on assessment tools, procedures, and practices; professional development, and critical issues. A total of 480 educators who worked in adult literacy and basic education programs sponsored by colleges, school boards, community-based agencies, and workplaces were contacted to complete the survey. Of the 480 educators, 400 completed the survey, yielding a response rate of 79 percent. In general, the participants were mature, female, well-educated program coordinators.

Assessment Tools

The findings indicate that the respondents use 26 different types of commercial instruments to assess literacy, numeracy, and/or essential skills. Of the 26 instruments, only three are current instruments developed within the past decade for use at the national level with the adult Canadian population. This suggests that practitioners' options are limited if they want to use a current test with Canadian content that is suitable for adults. The respondents described the types of instruments they would like to see developed in the future.

Recommendation 1

The adult literacy and basic education community would benefit from the development of new instruments to assess the adult student population. *Prior to investing in the development of these tools, governments should establish a national committee to determine standards for test development.*

Recommendation 2

The government should target funding towards the development of user-friendly, culturally relevant *diagnostic* tools that provide a comprehensive assessment of:

- (1) First Nations students.
- (2) *Emergent* literacy and numeracy practices and skills.
- (3) Numeracy practices and skills.
- (4) Writing practices and skills.

KEY FINDINGS AND RECOMMENDATIONS

The advantage of diagnostic tools is that they can provide information about placement and instruction. Ideally, the assessment tools that measure emergent literacy and numeracy practices and skills should be available in a kit that includes manipulatives. Further to this, the government should consider developing a *standardized* test with parallel forms that can be administered in a group setting to determine placement and measure progress. This standardized test should be geared towards students with intermediate to advanced competencies, rather than to emergent readers and writers. All of these instruments should be based on a set of Canadian standards for test development.

Assessment Directory

The respondents want to learn about the range of assessment tools on the market and the most appropriate tools to use with different populations and purposes. The findings reveal that some educators are using tools that are not appropriate for and compatible with the purpose and context of their assessment. Learning how to choose assessment tools was the highest ranked professional development topic for educators with six years of experience or less in the field of adult basic education. When respondents were asked to comment on what they would like to learn about, gaining knowledge about the range of existing assessment tools emerged as the strongest theme, regardless of their years of experience.

Recommendation 3.

An on-line reading assessment database would assist educators with choosing appropriate assessment tools for specific populations and purposes. Such a database would enable educators with limited budgets to do some “comparison shopping” before making a purchase. The database could include a comparison chart that allows users to compare the different assessments features. As well, the database could allow educators to write online reviews.

The Assessment Environment

The findings indicate that for many respondents, the testing environment is one of the most critical areas that needs to be addressed at the national level. The importance of

KEY FINDINGS AND RECOMMENDATIONS

creating a non-threatening assessment environment was a recurring theme throughout the survey. The respondents reported that the students find standardized tests such as the CAAT intimidating, and less formal measures such as CARA and CABS non-threatening.

Recommendation 4

Assessment needs to be *tiered* to students' levels of competencies. Students who are emergent readers and writers should not be subjected to formal, standardized tests during intake assessment, as these are reminiscent of their early school experiences. This means that credit-granting institutions that tend to favour standardized tests for intake assessment need to consider other alternatives for students with emergent literacy and numeracy skills.

Professional Development (PD)

The findings indicate that educators' PD preferences for learning about assessment correspond to what they have been able to access in their jurisdiction. At the aggregate level, educators selected workshops, in-service, and access to resource people or expertise as their top three PD preferences for learning about assessment. Mentoring and on-line training ranked as one of the top three choices among many jurisdictions. The respondents indicated that they wanted on-going access to resource people and mentors. The findings also indicated that the three most highly ranked PD topics were how to choose assessments, how to interpret assessments, and how to use assessments to plan for instruction.

Recommendation 5

Assessment is an integral part of the teaching/learning process, and consequently, the subject of assessment should be a key element in professional development systems that are being funded by provincial and/or territorial departments of education. The subject matter should include a broad array of theoretical and practical topics on assessment.

KEY FINDINGS AND RECOMMENDATIONS

Recommendation 6

In order to enhance assessment practice, educators need access to *on-going* professional development activities, rather than just one-shot events such as workshops and in-services. The high attrition rate among educators in the field also supports the notion of on-going training that can be accessed by new employees throughout the year. Opportunities need to be created so that educators in every jurisdiction have access to a broad spectrum of on-going PD activities, such as mentoring and on-line training. Mentoring is a practical pathway for learning about formal and informal approaches to assessment, as it employs observation, responsive feedback, and reflection. On-line training is a logical pathway for educators who live in remote communities and do not have the funds to travel to workshops or the colleagues to participate in in-service training.

Develop and Support Capacity

The findings from this survey indicate that many respondents are mandated by funders to conduct comprehensive, on-going, and exit assessments, yet they do not have the capacity to fulfill this mandate. The progress a student had made at the completion of the program was measured by only 47 percent of the respondents. Lack of time was the primary barrier preventing people from conducting comprehensive assessments and providing feedback to the student. According to the survey findings, respondents also need the material resources of time and funding to access assessment tools and familiarize themselves with the resources.

The findings indicate that time is both the highest-ranked support and the highest-ranked constraint with respect to enhancing assessment practices. Forty-five percent of the respondents reported that they receive time to engage in professional development on assessment; yet a smaller percentage (33 percent) reported that they do not have time to administer, interpret, report, and/or conduct follow up assessments. Until the issue of capacity is addressed, professional development on assessment will not lead to more effective practice.

KEY FINDINGS AND RECOMMENDATIONS

Recommendation 7

From the perspective of funders, performance accountability ensures that literacy programs are achieving their goals and objectives and producing measurable results. If funders require programs to assess students to determine measurable gains, then this requirement must be accompanied by funding to support capacity. Funders need to invest in the capacity of local programs to collect, interpret, and use data to monitor how well programs and students are doing and to improve services. Resources need to be allocated to programs that are commensurate with accountability expectations. In summary, we need an adult learning system built upon a strong, sustainable infrastructure.

Recommendation 8

From the perspective of funders, professional development ensures a highly trained workforce that has the expertise to administer, score, and interpret assessments in order to make decisions about placement and instruction. If funders want a highly trained workforce, they need to ensure that practitioners have the time to practice, dialogue, and reflect upon their new knowledge. Funders need to ensure that educators have the capacity to respond to what is learned through professional development.

Uniformity and Diversity

The respondents were asked to describe the most critical issue pertaining to assessment that needs to be addressed. The findings revealed two dominant themes: uniformity and diversity. Some respondents wanted a uniform set of national levels, standards, and assessment tools, while others recognized the need for a set of assessment tools that were culturally relevant, wholistic, and customized for populations with special learning needs.

Recommendation 9

In 2005, the Advisory Committee on Literacy and Essential Skills submitted *Towards a Fully Literate Canada: Achieving National Goals through a Comprehensive Pan-Canadian Literacy Strategy* to the Minister of State for Human Resources Development. This report emphasized the need for a national strategy and system for adult literacy/basic education. In order to address uniformity and diversity, a national system needs to

KEY FINDINGS AND RECOMMENDATIONS

encompass national standards yet be tailored to the diversity of regional and local needs, as well as to the diversity of learners and their ways of learning.

Recommendation 10

Stakeholders need to explore whether new and existing assessment tools should be referenced to a national set of levels and benchmarks. If assessment tools are referenced to a national framework of levels and benchmarks, the framework needs to be based on research and practice, and it needs to embrace diversity and wholistic learning.

REFERENCES

- Bainbridge, J. & Malicky, G. (2004). *Constructing meaning: Balancing elementary language arts (3rd ed.)*. Toronto, ON: Nelson.
- Battell, E. (2001). *Naming the magic: Non-academic outcomes in basic literacy*. (Project no: 99-00 AVED C2-3/NLS C103). Victoria, BC: Ministry of Advanced Education, Province of British Columbia and National Literacy Secretariat, Human Resources Development Canada.
- Belzer, A. (2005). Improving professional development systems: recommendations from the Pennsylvania adult basic and literacy education professional development system evaluation. *Adult Basic Education: An Interdisciplinary Journal for Adult Literacy Educators* 15(1), pp. 33-55.
- Bow Valley College. (2003). *Test of Workplace Essential Skills (TOWES). G Series*. Calgary, AB: Bow Valley College.
- Brigance, A. (1981). *Brigance diagnostic inventory of essential skills*. North Billerica, MA: Curriculum Associates.
- Campbell, P. (2003). *Teaching reading to adults: A balanced approach*. Edmonton, AB: Grass Roots Press.
- Campbell, P. & Malicky, G. (2002). The reading strategies of adult basic education students. *Adult Basic Education: An Interdisciplinary Journal for Adult Literacy Educators* (12)1, pp. 3-19.
- Campbell, P. & Brokop, F. (2000). *Canadian adult reading assessment*. Edmonton, AB: Grass Roots Press.

- Canadian Test Centre. (1994). *Canadian achievement survey tests for adults*. Markham, ON: Author.
- Canadian Test Centre. (2002). *Canadian Achievement Tests (3rd ed.)*. Markham, ON: Author.
- Cumming, J.J. & Van Kraayenoord, C.D. (1996). *Assessment: Making a difference in adult literacy and numeracy learning*. Melbourne, Australia: National Languages and Literacy Institute. [ED 405 488]
- Daniel, M.H. (2001). Review of the Canadian achievement survey tests. In B.S. Plake & J.C. Impara (Eds.), *The fourteen mental measurements yearbook* (pp.). Lincoln, NE: Buros Institute of Mental Measurements.
- Ewing, G. (1999). *Deconstructing and reconstructing the learning outcomes matrix*. Unpublished paper.
- Folinsbee, S. (2001). *Briefing paper: Literacy and the Canadian workforce*. Prepared for the Movement for Canadian Literacy.
- Fox Lee, J. & Strohmaier, R. (2000). *Common assessment of basic skills (CABS): Initial assessment in 5 levels (3rd ed.)*. Kinston, ON: Literacy Link Eastern Ontario.
- Gould, L. (1999). *Intake assessment materials: Fundamental level english and math*. Vancouver, BC: Vancouver Community College.
- Grieve, K. (2003). *Supporting learning, supporting change: A research project on self-management & self-direction*. Toronto, ON: Ontario Literacy Coalition.

REFERENCES

- Hieronimus, A.N., Hoover, H.D., Lindquist, E.F. Scannell, D.P. & King Shaw, E. (1990). *Canadian tests of basic skills, Forms 7 & 8*. Scarborough, ON: Nelson Canada.
- Harcourt Canada Ltd. & The Psychological Association. (1988). *Canadian adult achievement test*. Toronto, ON: Author.
- Horsman, J. (1999). *Too scared to learn: Women, violence and education*. Toronto, ON: McGilligan Books.
- International Reading Association. (1998). *Resolution on reading assessment*. Newark, DE: Author.
- International Reading Association and National Council of Teachers of English Joint Task Force on Assessment. (1994). *Standards for the assessment of reading and writing*. Newark, DE: International Reading Association.
- Johnny, Michael R. (2004). *Policy implications for Native literacy in Ontario*. Unpublished thesis. Peterborough, ON: Trent University.
- Johnston, P. (1998). The consequences and the use of standardized tests. In S. Murphy, P. Shannon, P. Johnston, & Hansen (Eds.), *Fragile evidence: A critique of reading assessment* (pp. 89-101). Mahwah, NJ: Lawrence Erlbaum Associates.
- Kline, T.J.B. (2004). Gender and language differences on the test of workplace essential skills: Using overall mean scores and item-level differential item functioning analyses. *Educational and Psychological Measurement*, 64(3), 549-559.
- Kruidenier, J. (2002). Literacy assessment in adult basic education. In J. Comings, B. Garner, & C. Smith (Eds.), *Annual review of adult learning and literacy* (pp. 84-151). San Francisco, CA: Jossey-Bass.

REFERENCES

- Long, E., & Middleton, S. (2001). *Patterns of participation in Canadian literacy and upgrading programs: Results of a national follow-up study*. Toronto, ON: ABC CANADA.
- Learning Disabilities Association of Canada (1999). *Destination literacy: Identifying and teaching adults with learning disabilities*. Ottawa, ON: Learning Disabilities Association of Canada.
- Ontario Literacy Coalition. (2000). *The level descriptions manual*. Toronto, ON: Author.
- MacGinitie, W.H., Kamons, J., Kowalski, R., MacGinitie, R.K. & Mackay, T. (1992). *Gates-MacGinitie reading tests (Canadian ed.)*. Scarborough, ON: Nelson Canada.
- Matuszek, P. (1985). Review of Brigance diagnostic inventory of essential skills. In J. V. Mitchell (Ed.), *The ninth mental measurements yearbook* (pp.). Lincoln, NE: Buros Institute of Mental Measurements.
- Merrifield, J. (1998). *Contested ground: Performance accountability in adult basic education. NCSALL Reports #1*: Cambridge, MA: The National Center for the Study of Adult Learning and Literacy, Harvard Graduate School of Education.
- Ontario Literacy Coalition: (2002). *Survey on common assessment and learning outcomes*. Toronto, ON: Author.
- Principles for Fair Assessment Practices for Education in Canada*. (1993). Edmonton, Alberta: Joint Advisory Committee. (Mailing address: Joint Advisory Committee, Centre for Research in Applied Measurement and Evaluation, 3-104 Education Building North, University of Alberta, Edmonton, Alberta, T6G 2G5)

- Roussy, Y., & Hart, D. (2002). *Seeing the need: Meeting the need. A report on recruitment and retention issues in literacy and basic skills programs*. Toronto, ON: Ontario Literacy Coalition.
- Silver, J., Klyne, D. & Simard, F. (2003). *Aboriginal learners in selected adult learning centres in Manitoba*. Winnipeg, MB: Canadian Centre for Policy Alternatives.
- Smith, C. & Hofer, J. (2003). The characteristics and concerns of adult basic education teachers. A NCSALL Research Brief. NCSALL, Cambridge, MA.
- Smith, C., Hofer, J., Gillespie, M., Solomon, M. & Rowe, K. (2003). How teachers change: A study of professional development in adult education. NCSALL Reports #25a. NCSALL: Cambridge, MA.
- Soares, L.M. (2005). Review of the Canadian achievement tests. In R.A. Spies & B.S. Plake (Eds.), *The sixteenth mental measurements yearbook*. Lincoln, NE: Buros Institute of Mental Measurements.
- Stein, S. (2003). *Equipped for the future: A framework for defining and measuring adult competence*. Unpublished manuscript. Available from the National Institute for Literacy.
- The Advisory Committee on Literacy and Essential Skills (2005). *Towards a fully literate Canada: Achieving national goals through a comprehensive pan-Canadian strategy*. Author: Ottawa, ON.



ASSESSMENT PRACTICES SURVEY

Welcome

This national survey is intended for educators who work with adults who are enrolled in literacy, upgrading, and workplace education programs.

This survey takes approximately 20 to 30 minutes to complete.

The findings will be documented in a report and an edited book. Excerpts from written statements may be used in these two publications. In the fall of 2005, you will be able to access the on-line report through the National Adult Literacy Database. In the fall of 2007, you will be able to purchase the book from Grass Roots Press.

In order to protect your identity, personal names and addresses will not be used in any oral or written reports. However, we do require some personal information to determine who has completed the survey. If the survey is not completed, we will send you a friendly reminder by e-mail.

To thank you for participating in this survey, we will enter your name into a draw for one of ten \$100.00 gift certificates from Grass Roots Press.

Definitions

In this survey, assessment refers to tools and approaches used to gather information in order to make decisions about the provision of programs, instruction, training, and services for literacy, upgrading and adult basic education students.

The following terms are used to describe the different stages to assessment:

Initial assessment: The first set of assessment(s) that occur during the intake process.

On-going assessment: Assessments that occur throughout the duration of the program.

Exit assessment: Assessments that occur when the student leaves the program or level.

Assessment Tools and Approaches

This section takes 10 to 12 minutes to complete.

1. For each stage of assessment, indicate whether your program uses assessment tools.

	Yes	Sometimes	No
Initial Assessment	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
On-going Assessment	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Exit Assessment	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

2. Which of the following tool(s) are used for each stage of assessment in your program?
(Check all the apply.)

	Initial Assessment	On-going Assessment	Exit Assessment
None	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Tools developed by practitioners	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Adult Basic Learning Examination (ABLE)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Bader Reading and Language Inventory	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Canadian Achievement Test (CAT)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Canadian Adult Achievement Test (CAAT)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Canadian Adult Reading Assessment (CARA)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Common Assessment of Basic Skills (CABS)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Gates-MacGinitie Reading Tests	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Nelson-Denny Reading Test	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Schonell Graded Word Spelling	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Silveroli Classroom Reading Inventory	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Stanford Diagnostic Reading Test	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Tests of Adult Basic Education (TABE)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Test of Workplace Essential Skills (TOWES)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Wide Range Achievement Test (WRAT)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Woodcock Reading Mastery Test	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

	Very satisfied	Satisfied	Somewhat satisfied	Not satisfied
3. How satisfied are you with the assessment tools that are used in your program?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

4. Who chooses the tool(s) that are used for each stage of assessment?

	Initial Assessment	On-going Assessment	Exit Assessment
Instructors/Facilitators/Staff	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Administration	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Student	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Testing Centre	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Union	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Funders (E.g., government)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Other	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

5. Indicate the approaches that are used for each stage of assessment.
(Check all that apply.)

	Initial Assessment	On-going Assessment	Exit Assessment
None	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Interviews	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Checklists	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Anecdotal Records	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Observation	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Conferencing	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Demonstration Activities	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Miscue Analysis	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Portfolio Assessment	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Learner Self-Assessment Guides	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Peer Assessment	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Writing Sample	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

The following questions pertain to INITIAL assessment.

6. Click on the tool that is used MOST FREQUENTLY for initial assessment.
(Choose one.)

- Tools developed by practitioners
- Adult Basic Learning Examination (ABLE)
- Bader Reading and Language Inventory
- Canadian Achievement Test (CAT)
- Canadian Adult Reading Assessment (CARA)
- Common Assessment of Basic Skills (CABS)
- Gates-MacGinitie Reading Tests
- Nelson-Denny Reading Test
- Schonell Graded Word Spelling
- Silveroli Classroom Reading Inventory
- Stanford Diagnostic Reading Test
- Tests of Adult Basic Education (TABE)
- Test of Workplace Essential Skills (TOWES)
- Wide Range Achievement Test (WRAT)
- Woodcock Reading Mastery Test
- Other (please specify)

7. Describe the strength(s) of this tool.

8. Describe the weakness(es) or limitation(s) of this tool.

9. What information is provided to the student after the initial assessment?
(Choose all that apply.)

- None
- Assessment scores
- Literacy, basic education, numeracy and/or Essential Skill level
- Description of assessment results
- Description of instruction they will receive
- Description of program they will be placed in
- Program expectations
- Role and responsibilities of instructor and student
- Learning contract
- Training plan
- Other (please specify)

10. Use the following three categories to rate the purposes of initial assessment in your program: primary, secondary, and tertiary.

	Very satisfied	Satisfied	Somewhat satisfied	Not satisfied
To help plan for individual instruction.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
To determine placement.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
To establish a benchmark or skill level.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
To provide information to the student.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
To measure progress.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
To identify strengths and weaknesses.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
To motivate students to obtain their goals.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
To help plan for class/group instruction.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
The institution and/or funder requires us.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
To design and/or change programs.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
To obtain information for accountability.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
To identify learning disabilities.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Other (please specify below)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

11. If you selected “Other” for the previous question, please describe in the space below.

12. In your opinion, what are the three most important factors in choosing an initial assessment tool? (Choose three.)

The tool:

- Assesses readiness for an upcoming program.
- Links to the program’s outcomes or requirements.
- Is user-friendly to the instructor.
- Does not intimidate potential student(s).
- Provides diagnostic information.
- Predicts academic success.
- Provides information for placement.
- Provides information for instruction.
- Provides an accurate measure of the abilities being measured.
- Provides consistent scores across different forms, administrators, and scorers.
- Relates to the student’s life.
- Is culturally appropriate.
- Assesses strengths and weaknesses.
- Measures non-academic outcomes.
- Has been standardized and normed on an adult population.
- Other (please specify)

13. In your opinion, what motivates instructors or staff to develop assessment tools?

Procedures and Practices

This section takes 4 to 6 minutes to complete.

14. What type of support do you receive to enhance your assessment practices?
(Choose all that apply.)

- Clear direction about assessment from administration
- Clear direction about assessment from funders
- Paid professional development
- Time to engage in professional development
- Mentoring/coaching
- Access to expertise or resource people
- Access to journals, articles, and reports on assessment
- Literature accompanying commercial assessment tools
- Debriefing sessions at staff meetings
- No support
- Other (please specify)

15. What constraints are you working under? (Choose all that apply.)

- No constraints.
- Assessment is not valued by administration.
- Assessment is not valued by funders.
- I am mandated to use specific assessment tools and/or approaches.
- Mandated assessment tool(s) are inappropriate.
- Mandated assessment approach(es) are inappropriate.
- I follow a standardized curriculum and am unable to individualize instruction.
- My knowledge about assessment is limited.
- Very few assessment tools are available.
- I work in isolation.
- I do not have enough time to administer, interpret, report and/or follow up assessments.
- The program does not have enough funding to support assessment.
- Other (please specify)

16. If you could wave a magic wand, what changes, if any, would you make to assessment practices in your program?

17. Which of the following practices does your program adhere to? (Check all that apply.)

- The assessment is compatible with the program’s education approach.
- Assessment assists in the ongoing planning of the individual’s goals and learning activities.
- Assessment is a collaborative and reflective process, encouraging meaningful student involvement.
- The assessment is multidimensional, incorporating a variety of tasks.
- The assessment emphasizes what students can do rather than what they cannot do.
- The purpose and nature of the assessment is explicit and clear to the student.
- The student is ensured of confidentiality.
- Assessment results are shared with the student.
- The assessment uses relevant and authentic tests and tasks.
- Assessment is an on-going activity.
- Interpretation of assessment results take student’s cultural and personal histories into account.
- Assessment is fair, equitable, and unbiased.
- The assessment is conducted in a non-threatening manner.
- Transfer of assessment information from one program to another is guided by a
- written policy to ensure confidentiality.
- Other (please specify)

Professional Development (PD)

This section takes 2 to 4 minutes to complete.

- | | Very
Important | High
Importance | Average
Importance | Low
Importance | Not
Important |
|---|---------------------------|----------------------------|-------------------------------|---------------------------|--------------------------|
| 18. How important is professional development on assessment to you? | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |

19. How did you learn about assessment? (Check all that apply.)

- By doing it
- From colleagues
- From an orientation session when I started my position
- From participating in a certification program offered by the government and/or literacy coalition
- From participating in workshops, e-conferences, and/or training events
- From reading articles, journals, and reports
- From non-credit courses
- From credit courses
- Other (please specify)

20. In the past two years, what type of professional development on assessment was available in your jurisdiction? (Choose all that apply.)

- In-services
- Workshops
- E-conferences
- Online training
- Self-study modules
- Provincial/territorial certification program
- Credit courses delivered on-site
- Credit courses delivered via distance
- Mentoring/coaching
- Access to expertise or resource people
- None
- I don't know
- Other (please specify)

21. What type of professional development on assessment would you like?
(Choose all that apply.)

- In-services
- Workshops
- E-conferences
- Online training
- Self-study modules
- Provincial/territorial certification program
- Credit courses delivered on-site
- Credit courses delivered via distance
- Mentoring/coaching
- Access to expertise or resource people
- None
- Other (please specify)

22. Indicate how frequently you would like to receive the professional development you want.

	Once every 2 years	Once a year	Twice a year	Periodically	On-going
In-services	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Workshops	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
E-conferences	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Online training	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Self-study modules	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Provincial/territorial certification program	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Credit courses delivered on-site	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Credit courses delivered via distance	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Mentoring/coaching	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Access to expertise or resource people	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
None	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

23. What would you like to learn about assessment?

- I want to learn:
- How to use an assessment tool
- How to administer an assessment
- How to interpret an assessment
- How to develop an assessment tool
- How to use assessment to plan for instruction
- At this point in time, I don't need to learn anymore
- Other (please describe in the space below)

Issues and Concerns

This section takes 2 to 4 minutes to complete. You are almost finished. There is only one more section after this one.

- | | Very High | High | Average | Low | Very Low |
|--|--------------------------|--------------------------|--------------------------|--------------------------|--------------------------|
| 24. How would you rate the importance of assessment in an adult education program? | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 25. What type of assessment tools would you like to see developed in the future? Use the space below to describe how this tool would meet your needs. (e.g. I need a user-friendly tool that assesses the decoding skills and processes of beginning readers.) | | | | | |
| 26. In your opinion, what is the most critical or burning issue pertaining to assessment that needs to be addressed within your jurisdiction or at a national level? | | | | | |
| 27. May we contact you to explore this issue further? | | | | | |
| <input type="checkbox"/> Yes | | | | | |
| <input type="checkbox"/> No | | | | | |

Demographics

This is the last section. It takes 2 minutes to complete.

28. What is your gender?

Female

Male

29. What is your age?

18-24

25-34

35-44

45-54

55-64

65-74

30, How would you describe your present role in adult basic education?
(Choose all that apply.)

- Workplace educator
- Classroom instructor
- Small group facilitator
- Community program staff
- Coordinator/director
- Assessor
- Volunteer tutor
- Instructional assistant
- Counselor
- Self-employed
- Other (please specify)

31. How many hours per week (paid time) are you employed in the field of adult basic education?

- Less than 10
- 10 to 20
- 21 to 30
- 31 to 40
- 41 to 50
- Not applicable

32. How long have you worked in the field of adult basic education, literacy, and/or workplace education?

- Less than 1 year
- 1-2 years
- 3-4 years
- 5-6 years
- 7-8 years
- 9-10 years
- 11-15 years
- 16-20 years
- More than 20 years

33. Do you have a Bachelor of Education (B.Ed.) degree or diploma?

- Yes
- No

34. Indicate the highest level of education you have completed? (Choose one.)

- High School
- Certificate
- Diploma
- Bachelor's degree
- Masters degree
- Doctorate degree
- Other (please specify)

35. How many university or college credit courses have you taken that have focused on assessment?

- None
- One
- Two
- Three
- Four
- Other (please specify)

36. Which type of agency delivers your program?

- School board/School district
- College
- Community-based
- Workplace
- Other (please specify)

37. What subject areas does your program offer? (Check all that apply.)

- Reading
- Writing
- Numeracy
- Life skills
- Employment development skills
- Essential skills
- Subject matter varies, depending on student's goals and needs
- Other (please specify)

38. How is instruction delivered? (Check all that apply.)

- Small group
- Class
- Self-paced
- One-to-one
- Other (please specify)

39. What level of upgrading or training does your program offer? (Check all that apply.)

- Beginning (Grades 1 to 3)
- Intermediate (Grades 4 to 6)
- Advanced (Grades 7 to 9)
- High School (Grades 10 to 12)
- Other (please specify)

40. Which of the following best describes the community your program serves?

- Urban
- Rural
- Remote (no access by road)
- Other (please specify)

41. What is the population of the community (city, town, reserve) your program serves?

- Less than 2,500
- 2,500 to 19,999
- 20,000 to 49,999
- 50,000 to 249,000
- 250,000 to 999,000
- more than 1 million

42. Do you have any other comments, concerns, or questions related to assessment? If so, please state them here.



Tests Used for Initial Assessment in Each Jurisdiction

ASSESSMENT TOOL	AB	BC	MB	NB	NL	NS	ON	PE	QC	SK	NT	NU	YK
Accuplacer		3											
Adult Basic Learning Examination	1	6	2		1	0	5		2		12	5	0
Bader Reading and Language	17			1						1			
Brigance Diagnostic Inventory					6		2						
Communication & Math Employment Readiness Assessment							1						
Canadian Achievement Test	5	10	3	1	1	1	3				8	2	5
Canadian Adult Achievement Test	3	11	13	3	15	5	17			8	5	1	1
Canadian Adult Reading Assessment	23	10	13		3	20	28	6	2	3	7	5	1
Canadian Achievement Survey Test	1	1											
Canadian Test of Basic Skills										3			
Common Assessment of Basic Skills	2		1		1		63	1	1	1		1	
Ekwall Reading Inventory							1						
Gates-MacGinitie Reading Tests	2	4		4			1				1		
General Equivalency Diploma Tests							1	2					
Laubach Reading Diagnostic Inventory*	3	2		2			2	2	1				
Nelson-Denny Reading Test	1	1	1				2			2	1		
Schonell Graded Word Spelling	8	1	1				2	2		1	1		
Silveroli Classroom Reading Inventory	3	1								1			

APPENDIX B

ASSESSMENT TOOL	AB	BC	MB	NB	NL	NS	ON	PE	QC	SK	NT	NU	YK
Slosson Oral Reading Test							1						
Stanford Diagnostic Reading Test		3							1				
SRA Placement Assessments							1						
SuccessMaker		1											
Tests of Adult Basic Education	9	2	3	1	4	2	3	1			1	4	
Test of Workplace Essential Skills	3	2	1		3	1	12	2		2	2	2	
Tools developed by educators**	27	37	21	7	6	35	89	5	10	7	13	5	5
Wide Range Achievement Test	1	8		1	3		3		3	2	1		1
Woodcock Reading Mastery Test	2	2			2					1			

*Also includes Challenger and Voyageur Placement tools.

**Also includes tests developed by colleges and governments.